# **Supporting Information**

# Identification of Single-Atom Active Sites in CO Oxidation Over Oxide-Supported Au Catalysts

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# **Experimental and Theoretical Methods**

#### **Experimental - Synthesis and Characterization**

For details on the synthesis and characterization of CeO<sub>2</sub> and Au/CeO<sub>2</sub> samples please refer to our previous publications.<sup>1-5</sup> Briefly, ceria nanoparticles were prepared by decomposition of Ce(NO<sub>3</sub>)<sub>3</sub>·6 H<sub>2</sub>O (99.5 %, Alfa Aesar) at 600°C for two periods of 12 h. The ceria nanoparticles possess a termination in (111) direction as evident from transmission electron microcopy data and *in situ* Raman spectra. Thus the experimental results are directly comparable to those of the DFT calculations employing (111)-oriented ceria slabs. Au/CeO<sub>2</sub> samples with 0.5 wt% Au loading were synthesized via deposition precipitation from HAuCl<sub>4</sub>·3 H<sub>2</sub>O (> 99.99 %, Sigma Aldrich).

#### **Experimental - Operando Spectroscopy**

*Operando* diffuse reflectance infrared Fourier transform spectroscopy (DRIFTS) was done at a Vertex 70 (Bruker) equipped with a liquid nitrogen cooled mercury cadmium telluride MCT detector operating at a resolution of 1 cm<sup>-1</sup>. A commercial reaction cell (Praying Mantis<sup>TM</sup> High Temperature Reaction Chamber, Harrick Scientific Products) with infrared transparent CaF<sub>2</sub> windows was employed.<sup>1</sup>

Potassium Bromide (KBr) powder outgassed at 200°C for 1 h and cooled to room temperature was used as infrared transparent sample for the  $I_0$  spectrum. The sample holder (stainless steel with a diameter of 8 mm and depth of 0.5 mm) covers about 30 mg of CeO<sub>2</sub> or Au/CeO<sub>2</sub> sample. A thermocouple in direct proximity to the sample revealed a temperature increase of 2°C during room temperature CO oxidation (21°C ± 2°C). Due to the minor temperature increase from the exothermal reaction, thermal effects at the sample can be safely ruled out.

The gas feed is composed of CO (99.997 %, Air Liquid),  $O_2$  (99.999%, Westfalen), and Ar (99.996 % Westfalen). The gas stream from the reaction cell is analyzed by infrared

spectroscopy (FTIR, Tensor 20, Bruker) and the conversion is determined as the amount of CO<sub>2</sub> in the gas stream in relation to 2% CO in the inlet gas stream mixed by digital mass flow meters. Infrared spectra of the catalyst sample and of the gas stream are synchronized and saved every minute, providing an average over one minute.

The catalyst is either equilibrated in 25%  $O_2$  (100 ml/min) for 1 h at room temperature (pretreatment A) or heated to 200°C in 25%  $O_2$  for 1 h and then cooled to room temperature (pretreatment B). After the pretreatment the catalyst is exposed to reaction conditions (2% CO, 10%  $O_2$  balanced with Ar to 100 ml/min) and to regenerating condition (25%  $O_2$ ). The exposure to reaction conditions and regenerating conditions is repeated once more.

#### **Density Functional Theory Calculations**

Spin-polarized Density Functional Theory (DFT) calculations were carried out employing the VASP package (version 5.3.5 https://www.vasp.at)<sup>6-9</sup> with the projector augmented wave (PAW) method<sup>10</sup> and the PBE functional,<sup>11</sup> with an additional Hubbard U-like potential applied to the Ce 4f orbitals<sup>12</sup> of  $U_{\text{eff}} = 4.5 \text{ eV}$  (PBE+U/4.5 eV),<sup>13,14</sup> as well as the hybrid-DFT approach, using the HSE06 functional.<sup>15-17</sup> The CeO<sub>2</sub>(111) surface with both (2  $\times$  2) and (3  $\times$  3) periodicities was modeled using a supercell approach containing a three O–Ce–O trilaver-thick slab with the previously (PBE+U/4.5 eV and HSE06) calculated ceria bulk lattice constants,<sup>4</sup> separated by at least a 10 Å-thick vacuum layer to avoid interactions between the surfaces. The Brillouin zone of the supercells with  $(2 \times 2)$  and  $(3 \times 3)$  surface periodicity was sample with (3  $\times$  3  $\times$  1) and (2  $\times$  2  $\times$  1)  $\Gamma$ -centered Monkhorst Pack<sup>18</sup> k-point grids for PBE+U/4.5 eV, and (2  $\times 2 \times 1$ ) and  $(1 \times 1 \times 1)$  grids for HSE06 calculations, respectively. Moreover, we modeled the interaction of individual Au<sub>1</sub> atoms and pyramidal Au<sub>4</sub> clusters on the CeO<sub>2</sub>(111) surface. For the former, several adsorption sites have been explored, and the for the latter, a structure similar to the one reported to be most stable was used.<sup>19</sup> The adsorption energy of Au species is referenced to gas-phase Au atoms for which  $\Gamma$ -point only calculations were performed in a 15×15×15 Å<sup>3</sup> box. Furthermore, the adsorption of the Au<sub>1</sub> and Au<sub>4</sub> species on the reduced CeO<sub>2</sub>x(111) surface with one surface oxygen vacancy was also considered. For the Au<sub>1</sub> adsorption in the vacant site, different configurations for the excess charge have been considered, whereas for the Au<sub>4</sub> cluster, only one (see the DFT Results section below).

The energy cutoff was set to 400 eV. All of the atoms in the three bottom atomic layers were fixed at their optimized bulk-truncated positions during geometry optimization, whereas the rest of the atoms were allowed to fully relax. The maximum residual forces in the slabs were smaller than 0.01 eV/Å and the total energy was converged to  $10^{-6}$  eV in the electronic SCF

loops. To account for dispersion interaction within the PBE+U/4.5 eV framework the DFT-D2 method of Grimme<sup>20,21</sup> was employed with semi-empirical parameters optimized before.<sup>22</sup> The adsorption energy of CO molecules is referenced to gas-phase CO for which Γ-point only calculations were performed in a  $15 \times 15 \times 15$  Å<sup>3</sup> box. The vibrational frequency of adsorbed CO was calculated after fixing all but the CO molecule by analytical calculation of the Hessian matrix using density functional perturbation theory<sup>23,24</sup> (PBE+U/4.5 eV) or a finite differences approach (PBE+U/4.5 eV + D2 and HSE06). Due to the localized character of the C–O vibration, the exclusion of the rest of the atoms in the supercell from the calculation of vibrational properties, changes the C–O vibrational frequency by < 0.1 cm<sup>-1</sup>, as described before.<sup>2</sup> The SCF convergence criterion was set to  $10^{-8}$  eV for the displaced structures during the finite differences calculations. For the calculation of the vibrational frequency of systems with <sup>13</sup>CO, a modified PAW potential for C was used, in which the atomic mass had been modified.

# **Supplementary Figures and Tables**

#### **Experimental Results**

The analysis of the carbonyl region  $(2000 - 2300 \text{ cm}^{-1})$  is described in detail in the manuscript (MS). Please note that isotope experiments, which we performed by using <sup>13</sup>CO, rule out the presence of an electronic transition of reduced ceria discussed previously in the literature in the context of the water–gas shift reaction.<sup>25</sup> This can be justified by a complete shift of the bands in the CO region, which in turn results in the same signature (see Figure S1).

Based on the literature assignments,  $^{26-29}$  the bands in the carbonate region ( $800 - 1800 \text{ cm}^{-1}$ ) in Figure 4 are assigned as follows: The bands at 988 cm<sup>-1</sup>, 1298 cm<sup>-1</sup>, and 1575 cm<sup>-1</sup> are assigned to carbonate species; besides, the bands at 1050 cm<sup>-1</sup>, 1254 cm<sup>-1</sup>, 1358 cm<sup>-1</sup>, and 1550 cm<sup>-1</sup> are assigned to formate species, which are also stable on the ceria support after outgassing at 200°C. Under reaction conditions, bands at 1023 cm<sup>-1</sup>, 1218 cm<sup>-1</sup>, 1393 cm<sup>-1</sup>, and 1620 cm<sup>-1</sup> grow in intensity, and in the same way, the v(OH) stretching mode at 3619 cm<sup>-1 27</sup> also grows in intensity. An isotopic exchange with <sup>13</sup>CO under reaction conditions (see Figure S2) strengthens the assignment of the bands. In addition, the observed shifts agree well with those obtained by DFT calculations. Focusing on the hydrogen carbonate bands, it can be seen that the isotopic exchange has no effect on the position of the bands at 1023 cm<sup>-1</sup> and 1218 cm<sup>-1</sup>. This behavior meets the expectations based on the nature of the vibrations [v(CO<sub>3</sub>, s) and  $\delta$ (OCOH, as)]. Also, DFT calculations using <sup>13</sup>C show the same results (see Table S2). The situation is different for the bands at 1393 cm<sup>-1</sup> and 1620 cm<sup>-1</sup>. These bands show a significant shift, which is again consistent with the nature of the vibrations [v(OCO, s) and v(OCO, as)] and DFT calculations using <sup>13</sup>C (see Table S2). Hence, the bands at 1023 cm<sup>-1</sup>, 1218 cm<sup>-1</sup>, 1393 cm<sup>-1</sup>, and 1620 cm<sup>-1</sup> are assigned to hydrogen carbonate species adsorbed on the ceria support.

The band at 1218 cm<sup>-1</sup>, which is assigned to a  $\delta$ (OCOH) bending mode in hydrogen carbonate species, is most pronounced under reaction conditions after outgassing of the sample at 200°C.

As it does not overlap (see Figure 3 in the MS) with other bands, it can be evaluated quantitatively in a straightforward manner (see Figure 5 in the MS).

The carbonyl region  $(2000 - 2300 \text{ cm}^{-1})$  is shown enlarged in Figure 2 in the MS and is discussed in detail in the text.

The broad band between 3000 and 3500 cm<sup>-1</sup> in Figure S3 is assigned to water adsorbed at the catalyst samples. The amount of adsorbed water is considerably lowered after outgassing of the sample at 200°C. Moreover, the band at 3694 cm<sup>-1</sup> in Figure S4 can be assigned to the v(OH) of adsorbed water.<sup>27</sup> Furthermore, the bands at 3730 and 3660 cm<sup>-1</sup> in Figure S4 are assigned to hydroxyl stretching modes v(OH-I) and v(OH-II), i.e., hydroxyl coordinated to one or two Ce<sup>4+</sup> ions <sup>[27]</sup> that shift slightly upon exposure to reaction conditions.<sup>[1]</sup>



**Figure S1:** *Operando* diffuse reflectance infrared spectra (CO region) of a 0.5 wt% Au/CeO<sub>2</sub> catalyst after 1h at 200°C in 25% O<sub>2</sub> (pretreatment B) followed by reaction conditions with  $^{12}$ CO (grey) and with  $^{13}$ CO (blue). The spectra were recorded after ~30 minutes under reaction conditions. The spectrum under reaction conditions with  $^{12}$ CO (grey) is offset for clarity.



**Figure S2:** *Operando* diffuse reflectance infrared spectra (carbonate region) of a 0.5 wt% Au/CeO<sub>2</sub> catalyst in 25% O<sub>2</sub> at 21°C recorded directly after 1h at 200°C in 25% O<sub>2</sub> (pretreatment B, black) and after ~30 minutes in reaction conditions with <sup>12</sup>CO (grey) and with <sup>13</sup>CO (blue).



**Figure S3:** *Operando* diffuse reflectance infrared spectra (region of adsorbed water) of a 0.5 wt% Au/CeO<sub>2</sub> catalyst in 25% O<sub>2</sub> at 21°C recorded directly after equilibration in 25% O<sub>2</sub> (pretreatment A, red) and after ~30 minutes in reaction conditions (2% CO, 10% O<sub>2</sub>, orange) and directly after 1h at 200°C in 25% O<sub>2</sub> (pretreatment B, black) and after ~30 minutes in reaction conditions (grey). The spectra are offset for clarity.



**Figure S4:** *Operando* diffuse reflectance infrared spectra (hydroxyl region) of a 0.5 wt% Au/CeO<sub>2</sub> catalyst in 25% O<sub>2</sub> at 21°C recorded directly after equilibration in 25% O<sub>2</sub> (pretreatment A, red) and after ~30 minutes in reaction conditions (2% CO, 10% O<sub>2</sub>, orange) and directly after 1h at 200°C in 25% O<sub>2</sub> (pretreatment B, black) and after ~30 minutes in reaction conditions (grey). The spectra are offset for clarity.

#### **Density Functional Theory Results**

Within the PBE+U/4.5 eV framework, upon adsorption of a Au atom on the CeO<sub>2</sub>(111) surface  $[Au_1/CeO_2(111)]$ , the transfer of the Au 6s<sup>1</sup> electron to the support is predicted, which is accompanied by the formation of a  $Ce^{3+}$  ion, and thus the oxidation state of Au is  $1+ (Au^+)$ .<sup>30-</sup> <sup>32</sup> Two different adsorption structures are identified, namely, Au<sup>+</sup> adsorption on-top of a surface oxygen atom (Au@O, Figure 3C and D in the MS) and at a bridge position between two surface oxygen atoms (O-O bridge, Figure 3G and H). For both structures, the Au  $\rightarrow$  ceria transferred charge can be localized either in nearest (NN) or next nearest neighbor cationic positions (NNN) to the gold ion. The PBE+U calculated Au adsorption energies,  $E_{ads,Au}$  (Table S1), namely,  $E_{ads,Au} = -0.966 \text{ eV}$  (Au@O, Ce<sup>3+</sup> NN), -1.017 eV (Au@O, Ce<sup>3+</sup> NNN), -1.061 eV(Au@O-O bridge, Ce<sup>3+</sup> NN), and -1.209 eV (Au@O-O bridge, Ce<sup>3+</sup> NNN) show that for both sites (on-top O and O-O bridge), the Ce<sup>3+</sup> NNN configurations are more stable than Ce<sup>3+</sup> NN ones and that the most stable structure is the Au@O-O bridge with Ce<sup>3+</sup> in a NNN site. The calculated  $E_{ads,Au}$  values for the Au@O and Au@O-O bridge structures with Ce<sup>3+</sup> localization in NNN sites can be compared to those in the literature (Au@O,  $E_{ads,Au} = -1.04$  eV and Au@O-O bridge, -1.18 eV<sup>33</sup>, Au@O, -1.07 eV and Au@O-O bridge, -1.20 eV<sup>30</sup>), showing excellent consistency.

For comparison and validation, the calculations for the Au<sub>1</sub>/CeO<sub>2</sub>(111) system were repeated with the HSE06 functional. With this functional, two stable Au@O structures are found, one in which no charge is transferred from gold to the surface  $[Au_1^0/CeO_2(111)]$  (see Figure 3E in the MS) with  $E_{ads,Au} = -0.483$  eV, in agreement with the literature,<sup>32</sup> and another one in which gold is positively charged (Au<sup>+</sup>) and the Ce<sup>3+</sup> is located in a NNN position (Figure 3D) with  $E_{ads,Au} = -0.503$  eV. A gold atom adsorbed at the Ce-Ce bridge position (Figure 3F) was also found not to transfer an electron to the surface,<sup>31</sup> and the adsorption energy for this structure is calculated as  $E_{ads,Au} = -0.531$  eV.<sup>31</sup> However, charge transfer is predicted for adsorption at the O-O bridge position (Au<sup>+</sup>). The configuration in which Ce<sup>3+</sup> is located in a NN position is less stable ( $E_{ads,Au} = -0.437 \text{ eV}^{31,33,34}$ ) than that in which it is located in a NNN position ( $E_{ads,Au} = -0.587 \text{ eV}$ ). As obtained with the PBE+U/4.5 eV functional, with the HSE06 one, the adsorption at the O-O bridge position with Ce<sup>3+</sup> in a NNN position is also the most stable Au<sub>1</sub>/CeO<sub>2</sub>(111) structure, although the other ones are close in energy within a 0.15 eV energy range. These Au<sub>1</sub>/CeO<sub>2</sub>(111) structures are considered as starting point for the adsorption of CO, as described in the manuscript.

In the following, we briefly report on the adsorption of Au<sub>1</sub> atoms and pyramidal Au<sub>4</sub> clusters on the reduced CeO<sub>2-x</sub>(111) surface with a surface oxygen vacancy and two Ce<sup>3+</sup> ions in NNN sites. For Au<sub>1</sub>, only the adsorption into the defect has been reported (Au@vac),<sup>35,36</sup> which is accompanied by the formation of Au<sup>-</sup> species and the Ce<sup>3+</sup> $\rightarrow$  Ce<sup>4+</sup> oxidation of one of the initially reduced cations [Au<sub>1</sub>/CeO<sub>2-x</sub>(111)]. We note that the formation of such a structure has been found to be kinetically hindered.<sup>37</sup> The structures of Au@vac considered are represented in Figure 3K in the MS (Ce<sup>3+</sup> in a NN position) and L (Ce<sup>3+</sup> in a NNN position) for which the adsorption energies of Au<sup>-</sup> are E<sub>ads,Au</sub> = -2.196 and -2.439 eV (PBE+U/4.5 eV), respectively. In the case of the adsorption of a pyramidal Au<sub>4</sub> cluster on the reduced support [Au<sub>4</sub>/CeO<sub>2-x</sub>(111)], one of the atoms of the base detaches as Au<sup>+</sup> to then fill the vacant oxygen site (Figure 3Q in the MS) and thus changing its oxidation state from Au<sup>+</sup> to Au<sup>-</sup>. We note that if we consider the adsorption of the pyramidal Au<sub>4</sub> cluster on the non-defective CeO<sub>2</sub>(111) surface [Au<sub>4</sub>/CeO<sub>2</sub>(111)] (Figure 3M), the formation of a surface vacancy with subsequent filling by a detached Au atom from the Au<sub>4</sub> cluster, does not modify the degree of reduction of the oxide support, which still entails the presence of two Ce<sup>3</sup>.

The Au<sub>1</sub>/CeO<sub>2-x</sub>(111) and Au<sub>4</sub>CeO<sub>2-x</sub>(111) structures enable the calculation of the reaction energies,  $\Delta E_0$ , for the CO oxidation by a lattice oxygen atom (Mars-van-Krevelen reaction mechanism) over the (most stable) Au<sub>1</sub>/CeO<sub>2</sub>(111) and Au<sub>4</sub>/CeO<sub>2</sub>(111) model catalysts as (cf.

Table S1):

$$CO-Au_1/CeO_2(111) \rightarrow Au/CeO_{2-x}(111) + CO_2^{gas} \qquad \Delta E_0 = +0.284 \text{ eV}$$
$$CO-Au_4/CeO_2(111) \rightarrow Au_4/CeO_{2-x}(111) + CO_2^{gas} \qquad \Delta E_0 = +0.512 \text{ eV}$$

The (PBE+U/4.5 eV) calculated reaction energy for the Au<sub>1</sub>/CeO<sub>2</sub>(111) system is by ~0.3 eV larger than that previously reported  $(-0.02 \text{ eV})^{38}$ . For a system with a larger Au<sub>20</sub> gold cluster on ceria surface, a value of 0.49 eV has been reported,<sup>36</sup> which is in line with our calculated value for Au<sub>4</sub>.

For a thorough analysis of the C–O bond stretching vibrational frequency ( $\tilde{v}_{CO}$ ) of CO adsorbed on the Au/CeO<sub>2</sub>(111) model systems, the isolated CO molecule is studied first aiming at benchmarking. Therefore, similar parameters to those employed by Yang *et al.*<sup>39</sup> (U = 5 eV) are used here to model the isolated CO molecule on the ceria surface, including vdW contributions following the approach of Grimme<sup>20,21</sup> (DFT-D2) and the PBE+U/4.5 eV functional. A finite-difference approach with four displacements for each coordinate (NFREE = 4, VASP input) is used for calculating  $\tilde{v}_{CO}^{gas}$ . Our calculated C–O bond stretch frequency is  $\tilde{v}_{CO}^{gas} = 2122.0 \text{ cm}^{-1}$ , which compares well to that reported by Yang *et al.*<sup>39</sup> (2122.5 cm<sup>-1</sup>). For the calculations of the vibrational frequency of CO adsorbed on the Au/CeO<sub>2</sub>(111) model systems, only two displacements for each coordinate are considered (NFREE = 2). Reducing the number of displacements in the calculation of the Hessian matrix, results in  $\tilde{v}_{CO}^{gas} = 2124.5$ cm<sup>-1</sup> (including vdW contributions) and 2121.9 cm<sup>-1</sup> (neglecting vdW contributions), cf. Table S1. Generally, the inclusion of vdW contributions results in frequencies shifts of 0–4 cm<sup>-1</sup>. Therefore, vdW contributions are only considered for CO adsorption on the CeO<sub>2</sub>(111) surface and on the Au<sub>1</sub>/CeO<sub>2</sub>(111) structures (see Table S1).

The CO stretch frequency for CO adsorbed on an isolated Au in the gas phase resembles that of CO adsorbed on the top site of the Au<sub>4</sub> pyramid on  $CeO_2(111)$  [cf. 2068.8/2197.5 and 2069.2/2199.4 cm<sup>-1</sup>, respectively (PBE+U/4.5 eV/HSE06)]. Generally, redshifts with respect to 13

the gas-phase CO molecule [2121.9/2235.7 cm<sup>-1</sup> (PBE/HSE06)],  $\Delta \tilde{\nu}_{CO,gas}$ , are considerably smaller with the HSE06 functional than with PBE. For example, for the CO-Au<sub>4</sub>/CeO<sub>2</sub>(111) with CO@Au<sub>top</sub>,  $\Delta \tilde{\nu}_{CO,gas} = -36.3$  and -53.1 cm<sup>-1</sup> with the HSE06 and PBE+U/4.5 eV, respectively.

A summary of all calculated frequencies is given in Table S1. An excerpt is shown in Table 1 of the manuscript together with the experimental results. All considered model structures are shown in Figure 3 of the MS.

**Table S1:** Total energy  $E_{tot}$  (PBE+U/4.5 eV, PBE+U/4.5 eV+D2, and HSE06 functionals), gold (Au<sub>x</sub><sup>y</sup>) oxidation state (y), Au adsorption energy  $E_{ads,Au}$  at the CeO<sub>2</sub>(111) surface, adsorption energy  $E_{ads}$  for CO and hydrogen carbonate, and CO stretch frequency. All structures have a (2 × 2) periodicity with the exception of the Au<sub>4</sub>/CeO<sub>2</sub>(111) structures, which have a (3 × 3) periodicity. Please note that the table continuous on the next pages.

Structure	Method	Etot	$E_{ m ads,Au}$	$E_{ m ads}^*$	$\tilde{\nu}_{CO}$
		[eV]	[eV]	[eV]	[cm <sup>-1</sup> ]
CeO <sub>2</sub> (111)	PBE+U	-291.330			
[vacuum layer 24 Å					
hereinafter]	PBE+U+D2	-295.480			
	HSE06	-382.006			
CO/CeO <sub>2</sub> (111)	PBE+U	-306.321		-0.185	2126.2
Figure 3A	PBE+U+D2	-310.567		-0.281	2129.5
	HSE06	-400.382		-0.150	2250.7
CO <sub>3</sub> H/CeO <sub>2-x</sub> (111)	PBE+U	-319.353		$-1.655^{*}$	
Ce <sup>3+</sup> NNN					
Figure 3B					
CeO <sub>2</sub> (111)	PBE+U	-291.318			
[vacuum layer 36 Å	PBE+U+D2	-295.467			
hereinafter]	HSE06	-381.990			

Au <sub>1</sub> /CeO <sub>2</sub> (111)	PBE+U	-292.469	-0.966		
Au@O Ce <sup>3+</sup> NN	PBE+U+D2	-296.822	-1.170		
Figure 3C					
Au <sub>1</sub> /CeO <sub>2</sub> (111)	PBE+U	-292.520	-1.017		
Au@O Ce <sup>3+</sup> NNN	PBE+U+D2	-296.882	-1.230		
Figure 3D	HSE06	-383.014	-0.503		
Au <sub>1</sub> /CeO <sub>2</sub> (111)	HSE06	-382.994	-0.483		
Au@O no Ce <sup>3+</sup>					
Figure 3E					
Au <sub>1</sub> /CeO <sub>2</sub> (111)	PBE+U	unstable			
Au@Ce-Ce bridge	PBE+U+D2	unstable			
Figure 3F	HSE06	-383.042	-0.531		
Au <sub>1</sub> /CeO <sub>2</sub> (111)	PBE+U	-292.564	-1.061		
Au@O-O bridge	PBE+U+D2	-296.903	-1.251		
Ce <sup>3+</sup> NN	HSE06	-382.948	-0.437		
Figure 3G					
Au <sub>1</sub> /CeO <sub>2</sub> (111)	PBE+U	-292.712	-1.209		
Au@O-O bridge	PBE+U+D2	-297.038	-1.386		
Ce <sup>3+</sup> NNN	HSE06	-383.098	-0.587		
Figure 3H					
CO-Au <sub>1</sub> /CeO <sub>2</sub> (111)	PBE+U	-309.924		-2.406	2094.6
Au@O-O bridge	PBE+U+D2	-314.305		-2.461	2094.5
Ce <sup>3+</sup> NN	HSE06	-403.623		-2.299	2219.4
CO-Au <sub>1</sub> /CeO <sub>2</sub> (111)	PBE+U	-310.023		-2.505	2091.5
Au@O-O bridge	PBE+U+D2	-314.399		-2.555	2094.2
Ce <sup>3+</sup> NNN	HSE06	-403.752		-2.428	2217.2
CeO <sub>2-x</sub> (111)	PBE+U	-284.126			
surf $O_{vac}$ ; $Ce^{3+}$ NNN					
$Au_1/CeO_{2-x}(111)$	PBE+U	-286.507	-2.196		
Au <sup>-</sup> @O <sub>vac</sub> ,					
Ce <sup>3+</sup> NN					

Au <sub>1</sub> /CeO <sub>2-x</sub> (111)	PBE+U	-286.750	-2.439
Au <sup>-</sup> @O <sub>vac</sub> ,			
<b>0</b> ·			

 $\mathrm{Ce}^{3+}\,\mathrm{NNN}$ 

Au <sub>4</sub> /CeO <sub>2</sub> (111)	PBE+U	-665.390			
[vacuum layer 36 Å	HSE06	-869.467			
hereinafter]					
CO-Au <sub>4</sub> /CeO <sub>2</sub> (111)	PBE+U	-681.118		-0.922	2069.2
CO@Autop	HSE06	-888.461		-0.768	2199.4
Figure 3N					
CO-Au <sub>4</sub> /CeO <sub>2</sub> (111)	PBE+U	-681.562		-1.366	2086.3
CO@Aubottom,1	HSE06	-888.950		-1.257	2214.7
Figure 3O					
CO-Au <sub>4</sub> /CeO <sub>2</sub> (111)	PBE+U	-681.593		-1.397	2085.5
CO@Aubottom,2	HSE06	-888.982		-1.289	2213.9
Figure 3P					
Au <sub>4</sub> /CeO <sub>2-x</sub> (111)	PBE+U	-658.092			
Figure 3Q					
Figure 3Q Structure	Method	Etot	Eads,Au	Eads,CO	ν̃сο
Figure 3Q Structure gas-phase	Method	Etot [eV]	Eads,Au [eV]	Eads,CO [eV]	ν̃co [cm <sup>-1</sup> ]
Figure 3Q Structure gas-phase Au <sub>1</sub>	<b>Method</b> PBE	<i>E</i> tot [eV] -0.185	Eads,Au [eV]	Eads,CO [eV]	ν̃co [cm <sup>-1</sup> ]
Figure 3Q Structure gas-phase Au <sub>1</sub>	Method PBE PBE+D2	<i>E</i> tot [eV] -0.185 -0.185	Eads,Au [eV]	Eads,CO [eV]	ν̃co [cm <sup>-1</sup> ]
Figure 3Q Structure gas-phase Au <sub>1</sub>	Method PBE PBE+D2 HSE06	<i>E</i> tot [eV] -0.185 -0.185 -0.521	Eads,Au [eV]	Eads,CO [eV]	ν̃co [cm <sup>-1</sup> ]
Figure 3Q Structure gas-phase Au <sub>1</sub> CO-Au <sub>1</sub>	Method PBE PBE+D2 HSE06 PBE	<i>E</i> tot [eV] -0.185 -0.185 -0.521 -15.803	Eads,Au [eV]	Eads,CO [eV] -0.812	<b>ν</b> co [cm <sup>-1</sup> ] 2068.8
Figure 3Q Structure gas-phase Au <sub>1</sub> CO-Au <sub>1</sub>	Method PBE PBE+D2 HSE06 PBE HSE06	<i>E</i> tot [eV] -0.185 -0.185 -0.521 -15.803 -18.700	Eads,Au [eV]	Eads,CO [eV] -0.812 -0.407	<b>ν</b> co [cm <sup>-1</sup> ] 2068.8 2197.5
Figure 3Q Structure gas-phase Au <sub>1</sub> CO-Au <sub>1</sub> CO	Method PBE PBE+D2 HSE06 PBE HSE06 PBE	<i>E</i> tot [eV] -0.185 -0.185 -0.521 -15.803 -18.700 -14.806	Eads,Au [eV]	Eads,CO [eV] -0.812 -0.407	<ul> <li>ν̃co</li> <li>[cm<sup>-1</sup>]</li> <li>2068.8</li> <li>2197.5</li> <li>2121.9</li> </ul>
Figure 3Q Structure gas-phase Au <sub>1</sub> CO-Au <sub>1</sub> CO	Method PBE PBE+D2 HSE06 PBE HSE06 PBE PBE+D2	<i>E</i> tot [eV] -0.185 -0.185 -0.521 -15.803 -18.700 -14.806 -14.806	Eads,Au [eV]	<i>E</i> ads,CO [eV] -0.812 -0.407	<ul> <li>ν̃co</li> <li>[cm<sup>-1</sup>]</li> <li>2068.8</li> <li>2197.5</li> <li>2121.9</li> <li>2124.5</li> </ul>
Figure 3Q Structure gas-phase Au <sub>1</sub> CO-Au <sub>1</sub> CO	Method PBE PBE+D2 HSE06 PBE HSE06 PBE PBE+D2 HSE06	<i>E</i> tot [eV] -0.185 -0.185 -0.521 -15.803 -18.700 -14.806 -14.806 -18.226	Eads,Au [eV]	Eads,CO [eV] -0.812 -0.407	<ul> <li><i>v</i>co</li> <li>[cm<sup>-1</sup>]</li> <li>2068.8</li> <li>2197.5</li> <li>2121.9</li> <li>2124.5</li> <li>2235.7</li> </ul>
Figure 3Q Structure gas-phase Au <sub>1</sub> CO-Au <sub>1</sub> CO CO <sub>2</sub>	Method PBE PBE+D2 HSE06 PBE HSE06 PBE+D2 HSE06 PBE+D2 HSE06	<i>E</i> tot [eV] -0.185 -0.185 -0.521 -15.803 -18.700 -14.806 -14.806 -18.226 -22.989	Eads,Au [eV]	Eads,CO [eV] -0.812 -0.407	<b>ν</b> co [cm <sup>-1</sup> ] 2068.8 2197.5 2121.9 2124.5 2235.7

**Table S2:** Calculated frequencies and assignments for the vibrations of the hydrogen carbonate  $(CO_3H/CeO_{2-x}(111) Ce^{3+} NNN, see Figure 3B)$  with <sup>13</sup>C und <sup>12</sup>C.

Structure	Methods	Frequency	Assignment
		[cm <sup>-1</sup> ]	
$^{13}$ CO <sub>3</sub> H/CeO <sub>2-x</sub> (111)	PBE+U	1566.3	v(OCO, as)
Ce <sup>3+</sup> NNN		1360.1	v(OCO, s)
		1177.6	δ(OCOH)
		1004.4	$\nu(CO_3, s)$
$^{12}\text{CO}_{3}\text{H/CeO}_{2-x}(111)$	PBE+U	1608.0	v(OCO, as)
Ce <sup>3+</sup> NNN		1395.8	v(OCO, s)
Figure 3B		1177.2	δ(OCOH)
		1007.9	$\nu(CO_3, s)$

**Table S3.** Bader charges ( $\Delta Q$ , in |e|) of Au atoms in Au<sub>1</sub>/CeO<sub>2</sub>(111) and of those of the Au<sub>4</sub>/CeO<sub>2</sub>(111) system in contact with the support (base) and at apex of the Au<sub>4</sub> pyramid (top), as well as Bader charges of those Au atoms directly bonded to CO, upon CO adsorption.

Structure	ΔQ (base)	$\Delta Q$ (top)
Au <sub>1</sub> /CeO <sub>2</sub> (111)		+0.35
Au@O-O bridge		
Ce <sup>3+</sup> NNN		
$CO-Au_1/CeO_2(111)$		+0.55
Au@O-O bridge		
Ce <sup>3+</sup> NN		
$CO-Au_1/CeO_2(111)$		+0.52
Au@O-O bridge		
Ce <sup>3+</sup> NNN		
Au <sub>4</sub> /CeO <sub>2</sub> (111)	+0.22, +0.22, +0.25	-0.05
CO-Au <sub>4</sub> /CeO <sub>2</sub> (111)	+0.19, +0.18, +0.21	+0.16
CO@Au <sub>top</sub>		
CO-Au4/CeO2(111)	+0.20, +0.55, +0.17	-0.11
CO@Aubottom,1		
CO-Au <sub>4</sub> /CeO <sub>2</sub> (111)	+0.19, +0.18, +0.54	-0.11
CO@Aubottom,2		

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