# REVIEW



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# Review: High temperature short time treatment of cell culture media and feed solutions to mitigate adventitious viral contamination in the biopharmaceutical industry

Leïla Diemal<sup>1,2</sup>  $\bullet$  | Clemence Fournier<sup>1</sup> | Joerg von Hagen<sup>3</sup>  $\bullet$  | Harald Kolmar<sup>2</sup>  $\bullet$  | Véronique Deparis<sup>1</sup>

1 Manufacturing Science and Technology, Merck KGaA, Corsier-sur-Vevey, Switzerland

<sup>2</sup> Department of Applied Biochemistry, Technical University of Darmstadt, Darmstadt, Germany

3 Performance Materials, Merck KGaA, Darmstadt, Germany

#### Correspondence

Leïla Djemal and Véronique Deparis, Manufacturing Science and Technology, Merck KGaA, Route de Fenil 25, ZI B, 1804 Corsiersur-Vevey, Switzerland. Email: [leila.djemal@merckgroup.com](mailto:leila.djemal@merckgroup.com) (L. D.) and Email: [veronique.deparis@merckgroup.](mailto:veronique.deparis@merckgroup.com) [com](mailto:veronique.deparis@merckgroup.com) (V. D.)

#### Abstract

Events of viral contaminations occurring during the production of biopharmaceuticals have been publicly reported by the biopharmaceutical industry. Upstream raw materials were often identified as the potential source of contamination. Viral contamination risk can be mitigated by inactivating or eliminating potential viruses of cell culture media and feed solutions. Different methods can be used alone or in combination on raw materials, cell culture media, or feed solutions such as viral inactivation technologies consisting mainly of high temperature short time, ultraviolet irradiation, and gamma radiation technologies or such as viral removal technology for instance nanofiltration. The aim of this review is to present the principle, the advantages, and the challenges of high temperature short time (HTST) technology. Here, we reviewed effectiveness of HTST treatment and its impact on media (filterability of media, degradation of components), on process performance (cell growth, cell metabolism, productivity), and product quality based on knowledge shared in the literature.

#### KEYWORDS

contamination, flash pasteurization, high temperature short time, precipitation, virus

# 1 | INTRODUCTION

Viral contaminations are a severe issue in the frame of the production of biopharmaceuticals by mammalian cells. The production of therapeutic proteins from living organisms, such as mammalian cells, requires a set of components essential for cell survival and cell growth. Those components are provided by cell culture media. Formerly, bovine serum was widely used in cell culture media as a source of amino acids, proteins, vitamins, carbohydrates, lipids, hormones, growth factors, inorganic salts, trace elements, and other compounds. The use of serum or in general of any animal or animal derived raw materials is associated with a higher risk of viral contaminations. For safety and economic reasons, serum-free media, sometimes

supplemented with protein hydrolysates, have been developed to replace the use of serum.<sup>1</sup> However, animal component free raw materials also carry a viral contamination risk if they were in contact with animal or animal-derived material during cultivation, manufacturing process, storage, or shipment. This risk of viral contamination by cell culture media raw materials was pointed out early in 1977 by Nut $t$ all and al. $2$  They reported the high risk of occurrence of bovine viral diarrhea virus (BVDV) contamination in untreated fetal bovine serum. Some examples of viral contamination in the pharmaceutical industry were publicly reported and are presented in Table 1. Those virus contamination events become rare as only 26 virus contaminations were reported over the past 36 years.<sup>3</sup> Raw materials were the likely source of contamination in most cases. In 11 of the 12 reported

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TABLE 1 Examples of viral contamination events in the pharmaceutical industry

Year	<b>Viruses</b>	<b>Cell</b>	Identified or most likely cause	Reference
1988	EHDV <sup>a</sup>	CHO <sup>b</sup>	Animal derived raw material (Fetal Bovine Serum)	38.39
1993; 1994	<b>MMV<sup>c</sup></b>	CHO <sup>b</sup>	Raw material (Unknown)	40, 41
1999	<b>Reovirus</b>	Newborn kidney	Unknown	42, 43
Not disclosed	<b>Reovirus</b>	CHO <sup>b</sup>	Fetal bovine serum	44
2000	CW <sup>d</sup>	CHO <sup>b</sup>	Animal derived raw material (Fetal Bovine Serum)	45
2002	Human Adenovirus	HEK 293 $\mathrm{e}$	Non animal derived raw material (Unknown)	46
2003	Vesivirus 2117	CHO <sup>b</sup>	Not disclosed	47
2003; 2004	CW <sup>d</sup>	CHO <sup>b</sup>	Animal derived raw material (Fetal Bovine Serum)	45
2008; 2009	Vesivirus 2117	CHO <sup>b</sup>	Raw material (Not disclosed)	48, 49
2009	MMV <sup>c</sup>	CHO <sup>b</sup>	Non animal derived raw material (recombinant media additive-contamination outside of the facility)	50
2010	$PCV-1$ <sup>f</sup>	Vero	Animal derived raw material (Trypsin)	51
2010	$PCV-1$ ; $PCV-2$ <sup>f</sup>	Vero	Animal derived raw material (Trypsin)	52

<sup>a</sup>Epizootic hemorrhagic disease virus.

<sup>b</sup>Chinese Hamster Ovary.

<sup>c</sup>Mouse Minute Virus.

<sup>d</sup>Cache Valley Virus.

e Human Embryonic Kidney.

f Porcine Circovirus.

contaminations in CHO cell culture, a raw material or medium component was identified or suspected to be the source. $3$  Such an event often leads to serious economic and safety consequences.<sup>4</sup> Costs associated with a viral contamination event can range from one to hundreds of millions of dollars depending on its severity.<sup>3</sup> For example, the costs associated with a vesivirus 2117 contamination were higher than \$200 million including a fine of \$175 million from the U.S. Food and Drug Administration.<sup>4</sup> To reduce the risk of introducing viruses during production, preventive steps are carried out such as detection tests on cell banks and on raw materials of animal origin. Detection of potential viral contaminations is also performed on the unprocessed bulk. Downstream viral clearance steps allow one to inactivate or remove most of viruses during the purification of the therapeutic proteins depending on the type and the final amount of viruses present in the unprocessed bulk.<sup>5</sup> Given the inhomogeneity of distribution of potential adventitious agents, the sensitivity and specificity limits of detection of viral testing, it remains difficult to identify a viral contamination in a raw material or in the cell culture media before the cell culture step.<sup>6</sup> It was also reported that using cytopathic assays is not 100% effective in assuring that the cell culture is free of viruses. $6,7$  Therefore, it is necessary to better mitigate this risk by implementing a virus preventive treatment of the cell culture media and feed solutions. Several techniques can be considered such as high temperature short time treatment, nanofiltration, gamma, or ultraviolet-C (UV-C) irradiation. Briefly, UV-C irradiation has the advantage of being compatible with serum-based media. However, this technology is associated with tremendous challenges regarding exposure uniformity, and maintenance of the equipment. $8-10$  Alterations of vitamins, carboxylic acids, and proteins were also often reported. $8-11$  Gamma irradiation is widely used for viral inactivation of serum, but it is not practically a point of use technology and it requires application by the supplier. Its efficacy is virus-dependent, and the technology is also very challenging to be implemented for large cell culture media volumes.<sup>12,13</sup> Nanofiltration of media is considered effective for virus removal, but costs can be significant and media composition can sometimes lead to low flow rate and filter clogging.<sup>14,15</sup> Pasteurization is historically performed in food industry on milk, juice, and some meat products to inactivate potential pathogens and extend shelf life. Pasteurization of plasma products for the pharmaceutical industry was first performed in the 50s of the last century after cases of hepatitis reported in the US Armed Forces troops were linked to a vaccine manufactured with human serum.<sup>16</sup> In 1948, a study, published by Gellis et al., showed the effectiveness of pasteurization as a viral inactivation technique on human serum albumin with hepatitis B virus.<sup>17</sup> In this review, the high temperature short time (HTST) technology will be discussed as a mitigation step for cell culture media and feed solutions against viral contamination in the biopharmaceutical industry.

# 2 | HIGH TEMPERATURE SHORT TIME TREATMENT

HTST treatment is also well known as flash pasteurization. In the biopharmaceutical industry, it is important to highlight the difference between heat treatment of culture media and heat treatment of viruses for vaccine applications. The first one only lasts a few seconds to preserve media integrity and is a preventive treatment. The second one can last longer as it is crucial for pathogenicity suppression.<sup>18,19</sup> Flash pasteurization was often the chosen technology put in place by biopharmaceutical industries that have experienced one or more viral

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contamination events in the 90s. In general, flash pasteurization of cell culture media is performed with continuous systems composed of two heat exchangers separated by an insulated retention tube. The medium is heated to the targeted temperature in the first heat exchanger and then held at this temperature for a short period of time in an insulated retention tube (e.g.,  $100^{\circ}$ C for 5 s). The heating section is mainly divided in two parts: pre-heating and heating. The medium is then cooled through the second heat exchanger and sterilized by a standard microfiltration step. Inactivation temperature and holding time are the most important controlled parameters to reduce impacts on cell culture media while ensuring an effective viral inactivation.

Two types of heat exchangers are used in the industry. One of them is the shell and tube heat exchanger, which typically works by countercurrent circulation of fluids in the tubes and the shell. Shell and tube heat exchangers are the most common type used in the pharmaceutical industry. Thanks to their resistance to high pressure, they are ideal candidates for high viscosity media. Generally, shell and tube heat exchangers have welded tube sheets that prevent the removal of the tube bundled for inspection and cleaning, although there are many designs and some modern hygienic exchangers will allow the removal of the tube sheet. Borescope inspections are commonly used as well as standard pressure testing for inspection and maintenance purposes. The second type, plate and frame heat exchangers, is smaller, cheaper, and more efficient in terms of heat transfer. Plate and frame exchangers can have additional plates installed easily to increase the flow path (or removed to decrease the flow path). However, they are more prone to pressure drop issues due to plate fouling as the flow path is narrow. Maintenance is typically faster as the plate packs can easily be removed for cleaning or replacement. Selection of plate and frame heat exchanger is, therefore, fluid dependent.<sup>20</sup>

## 3 | VIRAL INACTIVATION EFFECTIVENESS

High temperature short time treatment is known to be able to inactivate effectively a broad range of viruses. Boschetti et al. reported the principle of virus inactivation by heat, by measuring endonuclease activity after different heat treatments. A high level of endonuclease activity measured by polymerase chain reaction (PCR) after heat treatment at 90°C for 10 min illustrated accessibility of viral DNA for endonucleases, and therefore, the disintegration of the viral capsid. Heat inactivation of viruses prevents the interaction of viral capsid proteins with cell-surface receptors that facilitate their entry into the  $cells.<sup>21</sup>$ 

Heat sensitivity of viruses after short time exposure at high temperature (>90°C) was reported in scientific articles (Table 2). Larkin et al. highlighted that due to their chemical composition, enveloped viruses are more sensitive to heat, while non-enveloped parvoviruses and picornaviruses show the highest resistance to heat treatment.<sup>22</sup> Mouse Minute Virus (MMV), a non-enveloped parvovirus, was often used as a worst case viral model given its high resistance to heat treatment, its small size (18–24 nm), and its demonstrated capability to contaminate recombinant protein cell culture operations.  $3,5,23$ Media spiked with viruses were put into small containers and exposed to a specific temperature and time of exposure. This method is commonly applied for viral inactivation studies, although alternative technologies can be used, like the microflow system experimented by Murphy et al.<sup>24</sup> The comparison of data from literature allows to highlight some convergences and divergences related to heat sensitivity of viruses. A robust inactivation of viruses (≥4 LRV) was not achieved by only treating media for a few seconds below 90°C for MMV based on references presented in Table 2. At 90°C, at least 10 min of heat treatment was required to reach an effective MMV inactivation (≥4 LRV). $^{21}$  Heating to 97 $^{\circ}$ C and immediate cooling was efficient to provide complete inactivation (0.7 log10 TCID50 limit of detection) for MMV.25 A similar finding was made by Schleh et al. who highlighted that at a temperature above  $95^{\circ}$ C, culture media spiked with MMV and exposed for a minimum of 2 s to heat treatment could be effectively cleared of viruses (≥4.56 LRV).<sup>26</sup> Therefore, if MMV is inactivated under certain conditions, it is indeed very likely for other viruses under the same conditions to be effectively inactivated as well (≥4 LRV). For example, above 95°C and with a holding time as short as 5 s, an effective viral clearance (≥5.6 LRV) was demonstrated for Porcine Circovirus 2 (PCV2).<sup>27</sup> This leads to high degree of virus safety regarding known and emerging human pathogens.

It is important to note that experimental conditions (device uses, composition of media, virus load) may have a significant impact on the assessment of viral clearance. For example, different heat transfer materials were used (e.g. water, steam, sand, oil). Depending on the material used, the time required to heat media to the targeted temperature might vary. Some materials are associated to high heat conductivity while others can cause media to heat more slowly. The media composition also has an impact on the viral inactivation.<sup>26</sup> Media properties (e.g., high media viscosity) may have protective effects by impacting heat transfer and the microenvironment of viruses. Viruses suspended in plasma showed a higher temperature resistance than viruses suspended in distilled water.<sup>28</sup> The effectiveness of HTST treatment on cell culture media may also depend on the number of viral contaminants as Murphy et al. reported that the probability of achieving complete inactivation (100%) decreases as MMV contaminants increase.<sup>24</sup>

## 4 | IMPACT ON CULTURE MEDIA

Culture media are partially composed of heat sensitive components, which can be damaged by HTST treatment (Table 3). Therefore, every medium treated with this technology should be analyzed to ensure that media can still feed the cells with all necessary nutrients.

The major issue encountered in the literature with HTST treated media is component precipitation. While Schleh et al. and Kiss indicated that heat treatment did not cause any precipitation, such events have been highlighted by Cao et al, Pohlscheidt et al., and Shiratori et al.25,26,29-32 When precipitation occurred, the precipitates were





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<sup>b</sup>Mouse minute virus.

c Bovine enterovirus.

<sup>d</sup>Sindbis virus.

<sup>e</sup>Pseudorabies virus.

f Human immunodeficiency virus.

<sup>g</sup>Porcine circovirus.

<sup>h</sup>Porcine parvovirus.

mainly calcium phosphates. This has been chemically explained by Cao et al. $29$  The solubility of calcium complexes in water, which is already low at 25°C ( $K_{sp}$  = 10<sup>-33</sup>), decreases when temperature increases. These precipitations can lead to serious consequences such as clogging of filters or loss of trace elements, which can further alter product integrity (e.g. incomplete glycosylation) and production process yields.<sup>31</sup> In addition, precipitates may disrupt temperature control by fouling the heat exchanger surfaces. Key factors associated with

## TABLE 3 Assessment of media impacts by heat treatment based on data available in the literature



## TABLE 3 (Continued)



the risk of precipitation of calcium phosphates with HTST treatment are temperature, pH, and concentrations of calcium and phosphate. $31$ Reducing the temperature may not be the best choice to prevent precipitations, as the viral inactivation may then not be effective  $(≤4)$ LRV). However, lowering the pH was shown to be a promising strategy that resulted in reduced turbidity of the media.<sup>32</sup> Another option to mitigate precipitation events is to supply the medium with calcium and phosphate only after the HTST treatment step (these additions would nevertheless need to undergo another type of viral inactivation) depending on the overall viral risk of the sources of  $CaPO<sub>4</sub>$ . Methods such as fluidized sand bath or oil bath can be used to predict if precipitation is going to occur with a specific media during HTST treatment.30,31 Shiratori et al. used a design of experiments to assess when precipitation events occurred depending on the nature of the solution treated, pH, and phosphate and calcium concentrations.<sup>31,32</sup>

Apart from precipitation, HTST treatment might have other impacts on media, especially on heat labile components. For instance, a loss by 10%–20% of insulin and highly heat labile vitamins was reported by Kiss et al., while no significant losses of amino acids, methotrexate, glucose concentration were detected.25,31,32 Floris et al. reported that heat treatment of CHO media formulations was associated with increased presence of Maillard products, particularly for hydrolysate-supplemented formulations, which introduce more carbohydrates and amino-acids.<sup>33</sup> Furthermore, Weaver and Rosenthal observed that a medium containing fetal bovine serum was not compatible with heat treatment.<sup>34</sup> However, as HTST was not performed on the same medium without serum, it cannot be assessed whether this incompatibility came from the serum itself or another component of the basal medium. Kiss reported no impact on a medium containing serum.<sup>25</sup> In conclusion, tests must be performed for each medium composition to elucidate possible incompatibilities with HTST, which would eventually require alternative viral clearance methods to be considered.

# 5 | IMPACTS ON PROCESS PERFORMANCE AND PRODUCT QUALITY

In addition to the evaluation of the impact of heat treatment on media component degradation, and due to the complex nature of cell culture media and feed solutions, functional tests are also required to assess the impact on cell growth, productivity, and product quality. Kiss et al., reported no impact on specific growth rate of a Chinese hamster ovary (CHO) cell line with treated culture growth medium over 4 days containing 2% serum and treated production culture growth for 10 s at 97, 102, 107, and  $115^{\circ}$ C in a 2 L model system.<sup>25</sup> Same conclusions were made for media treated at  $102^{\circ}$ C for 60 s. No significant impacts were observed on titer or on the product quality attributes. In the same way,

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Pohlscheidt et al., reported at small and large-scale comparable cell growth, cell metabolism, product yield, and product quality (e.g., charge and size variants attributes) for a CHO cell line after heating media containing a growth factor and complex plant hydrolysates in addition to other nutrients at 102°C for 10 s. $^{30}$  Weaver and Rosenthal, only identified a minor impact on cell growth under extreme treatment conditions (115 $\degree$ C for 30 s), but no impact was observed under standard conditions of exposure at  $102^{\circ}$ C for 10 s.<sup>34</sup> In addition, they demonstrated the absence of impact on measured protein quality attributes following media heat treatment by analyzing sialylated glycan profile, Lys-C peptide map, and size-exclusion high-performance liquid chromatography (SE-HPLC) profile. Shiratori and Kiss reported no negative effects on the CHO cell culture performance or on measured product quality attributes even though a loss of 10%–20% of recombinant insulin and 10%–20% of highly heat labile vitamins was assessed in heat-treated media.<sup>31</sup>

# 6 | HIGH TEMPERATURE SHORT TIME TREATMENT IN THE BIOPHARMACEUTICAL INDUSTRY

Viral inactivation of cell culture media and feed solutions in a biomanufacturing process is a preventive treatment that is not viewed as mandatory by regulatory agencies. HTST is a technique, which can be quite easily implemented at a large-scale without being excessively costly. Genentech was the first company to have implemented HTST treatment to mitigate potential virus contamination events after they experienced a contamination by MMV in 1993 and 1994.<sup>25,30</sup> Since then, more commercial processes have been adapted to include this preventive treatment. In 2011, Genentech/Roche had established 16 HTST processes (six commercial processes, including a 16,500 L scale- and 10 clinical processes) at nine different sites, including those of contract manufacturing organisations or partners.<sup>25,30</sup> In 2013, 22 HTST operating units were reported in the pharmaceutical industry.<sup>35</sup> Among them, some are known to be owned by Amgen, and Biomarin.<sup>26,29,33,34,36</sup> Eli Lilly also showed interest in this technology with a study at small-scale.<sup>24</sup> The Life Science business of Merck KGaA offers the opportunity for outsourcing HTST treatment of high-risk raw materials such as glucose at their two redundant large-scale production sites. Outsourcing HTST treatment can save high upfront capital investments, mitigates production risks, and saves operational footprint.

# 7 | CONCLUSION

The HTST treatment, as discussed in this review, acts as an effective preventive step regarding the risk of a viral contamination. The growing number of studies on this subject have brought to light a recurring problem regarding the impacts that HTST treatment can have on culture media. Media composition generally include calcium and phosphate components, which precipitate above a certain concentration due to a decreasing solubility with temperature. In addition of creating

mechanical problems with the heat exchanger or with downstream filtration of media, this could induce a coprecipitation of other metal salts containing for instance iron, magnesium, manganese, or copper. As a result, the major impact on the process is a loss of trace elements, originally present in the media formulation that could eventually alter critical quality attributes of the product (e.g. glycosylation, charge variants, drug substance color) and thereby its efficacy. $37$  Considering potential impacts on the media, temperature can be lowered to a minimum of 95 $^{\circ}$ C, but given that the efficiency of the heat treatment highly depends on the temperature, it is not the best strategy to explore. Precipitations can be overcome by temporarily lowering the pH of culture media and by reducing (ideally to zero) the phosphate or calcium concentration prior to HTST treatment. Compounds with phosphate and/or calcium can, for example, be added to the culture after heat treatment. Likewise, it might be also advisable to only add trace elements after the treatment to ensure that they do not coprecipitate by using an alternative type of viral barrier such as nanofiltration. For efficient pre-screening, easily available techniques for HTST treatment such as oil bath or fluidized sand bath allow the rapid screening of numerous media compositions at small scale, in order to determine the best composition for preventing precipitation during HTST treatment.<sup>30-32</sup> In times where new viral threats arise, the implementation of additional viral clearance barriers for cell culture media become more important than ever, especially for cell and gene therapies. They require special focus for upstream viral safety since downstream viral filtration is not possible.<sup>3</sup>

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### CONFLICT OF INTEREST

The authors declare that there is no conflict of interest.

#### PEER REVIEW

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#### DATA AVAILABILITY STATEMENT

All data generated or analysed during this study are included in this published article.

#### ORCID

Leïla Djemal D<https://orcid.org/0000-0001-5803-2679> Joerg von Hagen <https://orcid.org/0000-0001-9810-3590> Harald Kolmar D<https://orcid.org/0000-0002-8210-1993>

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