RESEARCH ARTICLE

Comparative evaluation of powertrain concepts through an eco‑impact optimization framework with real driving data

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Abstract

The assessment of the ecological impact of diferent powertrain concepts is of increasing relevance considering the enormous efforts necessary to limit the global warming efect due to the man-made climate change. Within this contribution, we adopt existing methods for the optimization of electric and hybrid electric powertrains using a vehicle simulation environment and derive a method to identify the ecological potential of diferent powertrain concepts for a set of technological parameters in the reference year 2030. By optimizing the parametrization for each powertrain concept and by adapting the respective operating behaviour specifcally to minimize the ecological impact, a reliable and unbiased comparison is enabled. We use our optimization environment with the Real Ecological Impact as objective function to compare diferent powertrain concepts on driving profles that are based on real driving data recorded in Germany. Despite the fact that all of the considered driving profles contain trips of similar length, their respective optimized powertrain concepts are diferent. Plug-In Hybrid vehicles achieve the greatest potential for long-range capable vehicles and are least sensitive to diferent driving profles.

Keywords Ecological impact · Powertrain concepts · Powertrain optimization · Driving profles

1 Introduction

Throughout the last decades climate change has been identifed as a key threat to humanity as a whole (IPCC [2014\)](#page-27-0). That is why ecologically sustainable solutions to inherit global warming are subject to numerous research eforts in diferent areas.

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Individual mobility in form of passenger vehicles holds a signifcant share of the overall greenhouse gas (GHG) emissions and is most likely going to be part of our mobility solution for upcoming decades. As such, a decrease of GHG emissions for this sector has to be accomplished. One way to reduce these emissions is to identify vehicle powertrain concepts with the lowest ecological impact, as it is done in life cycle assessment methods, and replacing current technology with these new concepts.

In state of the art studies, the assessment of powertrain concepts is carried out by analysing characteristic series production vehicles with regard to their GHG emissions. The result of these studies are comparisons between the underlying vehicles and do not allow general statements about specifc powertrain concepts. To allow a comparison without relying on series production vehicles, a comprehensive analysis with constraints for the operational phase, the expected development of future energy mix including renewable energy sources and more has to be carried out. As the basis for this analysis, we derive an objective measure, the so called Real Ecological Impact (REI). Within this contribution we introduce this criterion as result of a life cycle assessment which includes emissions in production, operational and end-of-life phases. The REI is then used as the objective function of an optimization problem to fnd the best possible parametrization for specifc powertrain concepts under given constraints, especially regarding the underlying driving profle within the operational phase. Our previous results show that, in case of short-range vehicles, battery electric vehicles (BEV) have the lowest REI (Esser et al. [2019\)](#page-27-1). That result is confrmed by state of the art studies for short-range mobility (Helms et al. [2016](#page-27-2); Wietschel et al. [2019\)](#page-27-3). Therefore, as a driving profle constraint, we focus on vehicles with long-range capability for which studies come to diferent results, as shown in Sect. [2.](#page-1-0) The analyzed concepts include representatives of the following powertrain classes: internal combustion engine vehicle (ICEV) class, BEV class, plug-in hybrid electric vehicle (PHEV) class and fuel cell electric vehicle (FCEV) class. Since we optimize the parametrization of each specifc powertrain concept regarding the REI, a common evaluation basis is provided and the comparability between the diferent technologies for specifc driving profles is enabled.

In Sect. [2,](#page-1-0) we show the state of the art concerning life cycle assessment and powertrain optimization. Section [3](#page-3-0) gives a description of the derived optimization framework. Sections [4](#page-5-0) and [5](#page-10-0) present the basis for the evaluation in form of driving profles and the vehicle simulation model, respectively. We are then going to discuss the results for diferent driving profles with regard to specifc powertrain concepts.

2 State of the art

To quantify the ecological impact of diferent powertrain concepts, a life cycle assessment is conducted. Regarding the quantifcation of the impact on global warming, the balancing of the Global Warming Potential for a time horizon of 100 years (GWP100) is the most established measure (EPA [2019\)](#page-27-4). It allows the evaluation and combination of diferent GHG emissions. Many diferent studies have been

performed comparing the GWP100 of powertrain concepts. The area of interest for our study are the boundary conditions within Germany.

Most of the studies mainly dealt with a scenario with short required range (Helms et al. [2016](#page-27-2); Wietschel et al. [2019\)](#page-27-3). For this scenario, BEV with a resulting moderate required battery capacity usually perform best, especially if future scenarios with predicted improved electricity mixes are regarded. A smaller number of studies is concerned with long-range capable vehicles, which is the focus of this work. The results for long-range capable vehicles in literature are less homogenous:

Wietschel et al. ([2019\)](#page-27-3) are comparing long-range capable BEV and ICEV concepts for the boundary conditions in Germany in 2019, identifying overall better results for the BEV. Only in the case of executive vehicles and very long ranges of 800 km, the ICEV with diesel engine outperforms the BEV. The efects of battery weight on the consumption, the specifc design of the powertrain and the consideration of further powertrain concepts, like PHEV and FCEV, are not included in their study.

Helms et al. ([2016\)](#page-27-2) perform a very detailed analysis of the life cycle emissions of BEV and a comparison of diferent powertrain concepts for the reference years 2016 and 2030. A BEV with 250 km range, which still does not fulfl our defnition of long-range capability (350 km range) within the work, is analysed additionally to shorter ranges. The results indicate that as of 2016, long-range capable BEV lead to increased emissions compared to ICEV and PHEV concepts. By 2030, they will achieve a signifcantly better balance than the ICEV concepts and a slightly better balance compared to the considered PHEV concepts according to this study.

In ISE ([2019\)](#page-27-5) the authors compare the GPW100 of BEV and FCEV for the time periods 2020–2030 and 2030–2040. In their study FCEV achieve smaller GWP100 values for required ranges above 250 km. Concerning short-range, the BEV are more suited. Further, powertrain concepts like PHEV were not considered.

In all of the mentioned studies, characteristic vehicles for all powertrain concepts were defned based on existing series production vehicles and typical design parameters of the powertrain like the battery capacity. Additionally, typical consumption values have been assigned. This approach is suited to evaluate the current use of powertrain technologies but cannot depict their respective potential of the powertrain concept to reduce the GWP100 as they were not dedicatedly optimized to achieve a minimum GWP100.

For this purpose, in this contribution existing methods for the optimization of electric and hybrid electric powertrains are utilized, adapted and extended for the application of a GWP100 minimization. We will refer to the summarized GWP100 for the entire life cycle for the optimization of powertrain concepts considering representative drive cycles as the REI within this work.

In Eghtessad et al. ([2015\)](#page-27-6), a multi-objective optimization method with focus on the technical performance indicators energy efficiency is presented. Here, driving performance as well as an economic performance indicator for powertrain costs for the comparative evaluation of diferent BEV class powertrains with diferent transmissions are considered. In order to accelerate the evaluation within the optimization process using a genetic algorithm, mathematical meta-models are applied which are generated by means of design of experiments on the basis of a physical simulation

model. This involves searching for optimal parametrizations of the powertrain components, whereby the components can be adjusted using confgurable basic parameters in order to minimize an objective function. The objective function is composed of a weighted sum of the individual performance indicators in each category to be evaluated. Meier ([2013\)](#page-27-7) extends this approach for the comparison of two diferent hybrid electric powertrains. Based on e.g. Ebbesen et al. [\(2012](#page-27-8)), a nested two-stage process is realized: There is both, the challenge of the parametrization of the powertrain itself (sizing of powertrain components, defnition of transmission ratios, etc.) as well as the parametrization of the operating strategy. Thereby, the operating strategy is iteratively adapted for each powertrain variant in order to exploit the potential of the powertrain parametrization to the fullest.

Stochastic driving cycle synthesis methods can be used to generate short but representative driving cycles that represent large sets of driving data in a compressed manner. This approach, also applied in Eghtessad (2014) (2014) , enables an efficient evaluation of powertrain parametrizations within the optimization framework based on the characteristics of the whole driving data set.

The approach of our contribution is to pick up on these methods and use simplifed simulation models instead of mathematical meta-models to increase the computing efficiency. By doing so, a great variety of different powertrain concepts and parametrizations can be investigated on diferent driving profles within the optimization process. The result is an optimal parametrization for each powertrain concept and a specifc driving profle which enables an unbiased comparison.

3 Optimization framework

In order to enable a comparison between diferent powertrain concepts in the sense of a benchmark, the interactions between the individual components, the impact of the users behavior on powertrain efficiency as well as the impacts of the component sizing in the diferent phases of the life cycle must be taken into account. The sizing of the powertrain components and the specifc set of powertrain parameters—in the following summarized as the parametrization of a powertrain concept, i.e. a variant—have a signifcant impact on the evaluation of its REI. Thus, an accurate parametrization with the aid of a holistic overall system optimization approach is needed and an optimization framework is developed to determine the optimal powertrain parametrization.

The evaluation of performance indicators in the operational phase like consumption by using real driving data is a fundamental part of the parametrization process of powertrain variants. A method, based on Esser et al. [\(2018](#page-27-10)) and further, described in Sect. [4](#page-5-0), is used to synthesize representative driving cycles. The extensive database is thereby reduced to a necessary minimum. This enables a computationally efficient evaluation of powertrain concepts based on real driving data with aid of a vehicle simulation with a generic powertrain model described in Sect. [5.](#page-10-0) To achieve an estimation of the actual potential of powertrain variants, an operating strategy based on a locally optimal control approach, called Equivalent Consumption Minimization

Strategy (ECMS) (Paganelli et al. [2002](#page-27-11); Serrao et al. [2009\)](#page-27-12), is adapted for each parametrization of a powertrain concept.

The objective function, which is minimized using a genetic algorithm, is the REI. Thus, it is not only made up of the greenhouse gas emissions during the operational phase, but is considering the entire life cycle. The production phase emissions, in particular, are strongly infuenced by the sizing of the components.

Figure [1](#page-4-0) shows a schematic overview of the developed optimization environment. For each powertrain concept, the minimum REI is determined based on a representative driving cycle by an optimal sizing and parametrization of the respective components. In this way, a reliable and unbiased basis for the comparison of diferent powertrain concepts is created.

The feasibility constraints of the simulation environment ensure that all powertrain concept parametrizations are able to follow each of the given driving cycles and that the vehicles fulfll the range requirements. Furthermore, the fundamental drivability of the resulting powertrain parametrizations during the optimization process is ensured by additional technology-neutral design constraints. The generated vehicles must be able to achieve a launch acceleration of 2.5 m/s^2 on a slope of 30%, accelerate to 60 km/h in 7 s and achieve a residual acceleration of 0.33 m/ $s²$ at 180 km/h. Furthermore, to account for the idea of locally emission-free city driving, all parametrizations of powertrain concepts that can drive purely electric must achieve an electric range of 60 km. Based on the calculated energy demand in the operational phase as well as the estimated parametrization-dependent weights of components, the REI is fnally determined with the help of emission factors from literature. The determined $CO₂$ emissions in the production of different vehicle components are shown in Fig. [11](#page-18-0) for the reference driving profle, which will be introduced in the following section. The parametrization of a powertrain concept is represented by a set of design parameters *d* which is shown in Table [1](#page-5-1).

We use the genetic algorithm from the MATLAB toolbox (MathWorks) and defne a mixed-integer optimization problem with the design parameter set as search space and the REI as objective function.

A specifc combination of design parameter values is encoded as the genome of an individual, in which N_{ICE} and n_{Transm} are integers and all other parameters are real

Fig. 1 Illustration of the optimization framework

Table 1 Design parameter of the optimization **p**

numbers. A starting population of individuals is then iteratively adapted through a rank-based selection of individuals which are evolved by mutation and crossover. The default mutation and crossover functions for a mixed-integer problem from the MATLAB toolbox are used and elitism is considered. The values of the design parameters are bounded by lower and upper thresholds and for individuals that do not meet the previously mentioned feasibility or design constraints, penalty terms are added to their ftness value. This way, it is ensured that the individual with the best ftness yields the lowest REI while still satisfying all constraints. As termination condition, we use a number of stall generations over which the relative change in the best ftness value is below a given function tolerance. The main hyperparameters of the genetic algorithm are summarized in Table [2](#page-5-2).

4 Driving profles and parameter set for the evaluation

In order to achieve a proper estimation of the REI for diferent powertrain concepts and for diferent driving profles, real driving data for the specifc driving profles must be considered. In this study, the comparative evaluation of the REI is performed for three diferent driving profles and the resulting diferences in the REI and the powertrain parametrizations are discussed. The frst driving profle (reference profile) shall offer good comprehensibility for the reader, which is why the well-known Artemis driving cycles for urban, rural and highway driving are used to describe the driving behaviour. The second driving profle is built based on recorded tracks from a pool-vehicle at the TU Darmstadt (pool-vehicle profle). The driving data consists of tracks from diferent drivers that use the car for business duties. Finally, the third driving profle is based on driving data recorded from an individual

employee of the TU Darmstadt (employee profle) in his personal car. It consists of all trips that the individual employee performed during the recording period.

Our two own driving profles (pool-vehicle and employee profle) are based on recorded tracks, from which the occurrence frequency of vehicle states in the velocity–acceleration plane is calculated, as shown in Fig. [2](#page-6-0) for the pool-vehicle. The driving profles are further divided into sub profles for diferent trip distances, since the trip distance has a signifcant impact on driving behaviour, as shown in Fig. [3](#page-7-0) for the pool-vehicle profle. One example for the diferences in the sub profles is, that the occurrence frequency of high velocity increases signifcantly for higher trip distances due to the higher presence of highway driving. On the other hand, high accelerations or sportive driving at lower velocity bellow 100 km/h occurs predominantly on shorter trips. Apparently, the drivers prefer more moderate driving within this velocity region on longer trips. Thus, diferent trip distances and thus diferent driving behaviour in the original data result in diferent energy related demands. Furthermore, the division into sub profles is necessary for a precise depiction of hybrid powertrain concepts with two energy sources, since the consumption of the concepts depends, despite the driving-behaviour, on the distance of the tracks. Shorter tracks allow for an increased percentage of electrical driving compared to longer tracks. A hybrid concept might drive a short track completely electrically, but needs to use the combustion engine or fuel cell for longer tracks (even if the tracks have equal operating points) to achieve the desired range.

The optimization environment uses a backward facing vehicle simulation model (Sect. [5\)](#page-10-0), to estimate the petrol, CNG, hydrogen and electric consumption for diferent parametrizations of a powertrain concept on given driving cycles. The driving cycles for the reference profle are given with the Artemis cycles, shown in Fig. [4](#page-7-1). For our own two driving profles, the cycles synthesis procedure (Esser et al. [2018\)](#page-27-10) is used to generate representative driving cycles from the occurrence frequency distributions of the sub profles. The synthetic driving

Fig. 2 Overall driving profle for the pool-vehicle

Fig. 3 Sub profles of the pool-vehicle profle and the corresponding representative driving cycles for the optimization environment

Fig. 4 The three Artemis driving cycles, that are used for the reference profle. From left to right: Artemis Urban, Artemis Rural, Artemis Highway (150 km/h version)

cycles match the sub profles in statistic quantities, such as the normalized driving resistances or the mean value and variances of velocity as well as acceleration and compress the datasets signifcantly. Therefore, they are suited to calculate the consumptions of many different powertrain parametrizations with high efficiency within the optimization.

Fig. 5 distribution of trip distances for the pool-vehicle profle

	Total recorded kilometres	Chosen trip distances in km	Weighting factor $(\%)$
Reference profile	Artemis urban cycle	60	71
	Artemis rural cycle	100	12
	Artemis highway cycle (150 km/h)	200	8
	version)	350	9
Pool-vehicle profile	4980 km	60	25
		150	50
		225	18
		350	7
Employee profile	2546 km	60	41
		100	15
		180	26
		230	18
		350	~ 0

Table 3 Chosen trip distances and weighting factors for the three driving profles

For the reference profle, the trip distances and weighting factors were determined based on own assumptions for a typical user. In case of the further two driving profles, they were calculated from the recorded tracks

Together, the sub profles defne the overall driving profle and are therefore weighted according to their percentage of the total driven distance. Within the optimization environment, the representative sub profle driving cycles are simulated for all powertrain concept parametrizations and the resulting consumptions are then weighted according to their percentage of total driven distance.

Figure [5](#page-8-0) shows the distribution of trip distances for the pool-vehicle profle. The frst sub profle which contains tracks from 0 to 75 km distance covers approximately 25% and the second sub profle with tracks from 75 to 200 km respectively 50% of the driven kilometres. The chosen trip distances and corresponding weighting factors for the three profles are summarized in Table [3.](#page-8-1) For all three profles, a maximum range of 350 km on the respective high-distance driving cycle will be required to enable long-range capability.

The three sub profles of the employee profle and the synthesized driving cycles that are used within the optimization environment are shown in Fig. [6.](#page-9-0)

Fig. 6 Sub profles of the employee profle and the corresponding representative driving cycles for the optimization environment

There are obvious diferences in the driving behaviour between the pool-vehicle profle and the employee profle. The pool-vehicle profle (Fig. [3](#page-7-0)) shows, that the vehicle is driven more dynamic with higher accelerations and top speeds. Furthermore, there are various states at constant speed with a high frequency occurrence resulting from the use of the Adaptive Cruise Control (ACC) function in speed mode of the vehicle at diferent speeds. For the employee profle on the other hand, the ACC function is predominantly used at 120 km/h, corresponding to the preference of the individual driver. This dominant operating point can also be seen in the synthesized driving cycles for the long trip distances in Fig. [6.](#page-9-0)

Due to the signifcant diferences in the driving profles, diferent consumption estimations and thus diferent REI evaluations, our method lead to diferent REIoptimal powertrain parametrizations for each concept depending on the driving profle.

For the evaluation of the REI of diferent powertrain concept parametrizations, a parameter set is required to estimate the specific emissions of $CO₂$ -eq. over the entire life cycle of the vehicles. For the assessment in this study, the parameter set is meant to describe the boundary conditions in Germany in the year 2030 and a corresponding expected development from today's technology parameters is considered. The scenario parameters are summarized in Table [4.](#page-10-1) Within this study, we assume that the installed batteries achieve the desired lifetime without battery degradation or failure. Therefore, no second batteries are required

Parameter	Value
Specific emissions of electric energy production	$400 \text{ g } CO_2/kWh^a$
Energy density of the Battery	126–170 Wh/kg dependent on battery size ^b
Depth of discharge for the Battery	80–90% dependent on battery size ^c
Emissions in battery production	12.95 kg CO_2 -eq./kg ^d
Total mileage	$250,000 \text{ km}^{\text{e}}$

Table 4 Summary of the scenario parameters with the highest impact on the REI

The references are indicated with the footnotes

a Chosen value between Helms et al. [\(2016](#page-27-2)) and Wietschel et al. ([2019\)](#page-27-3)

b Lower limit from Thielmann et al. [\(2013](#page-27-14))

c Lower limit from auto motor sport ([2016\)](#page-26-0), upper limit from BMW Group [\(2018](#page-26-1))

^dFurther improvements for 2030 from study of Peters and Weil [\(2018](#page-27-15))

^e Annual mileage of diesel vehicles within Germany (statista [2019\)](#page-27-16) combined with the mean lifetime of passenger vehicles in Germany (Plötz and Kühn [2013\)](#page-27-17)

and the technology parameters stay constant. A second use of the batteries is not considered.

Additionally, further emission related parameters have to be taken into account, such as the specifc emission factors for the production of diferent powertrain components, the emission factors for the supply chain of fuels and the emission factors for the end of life. As an example, we give details for the resulting emissions in the production of the powertrain components in case of the reference profle according to the optimal vehicle concept (see Fig. [11](#page-18-0)). Furthermore, the emissions in the supply chain of diferent fuels are quantifed according to the values in Table [5](#page-10-2).

5 Vehicle simulation model

fuels

A vehicle simulation model is integrated into the optimization framework to estimate the energy consumption of the diferent powertrain concepts. The model consists of two parts: a primary consumption model used to estimate the energy demand required for moving the vehicle and a secondary consumption model to estimate the energy demand for auxiliary systems. As shown in Jardin et al. (2019) (2019) , the energy to temperate the passenger vehicle cabin strongly depends on the ambient temperature and has a signifcant infuence on the overall vehicle consumption. Additionally,

^bEdwards et al. [\(2014](#page-27-18))

c Edwards et al. ([2014\)](#page-27-18)

Fig. 7 Generic powertrain model: Single components can be omitted by binary encoding

Binary encoding (ICE, E M,FC,TM1,TM2,TM3,b attery)	
(1, 0, 0, 1, 0, 0, 0)	
(1, 0, 0, 1, 0, 0, 0)	
(0, 1, 0, 0, 1, 0, 1)	
(0, 1, 0, 0, 1, 0, 1)	
(0, 1, 1, 0, 1, 0, 1)	
(0, 1, 1, 0, 1, 0, 1)	
(1, 1, 0, 0, 1, 1, 1)	
(1, 1, 0, 0, 1, 1, 1)	

Table 6 Encoding concepts through binary codes using the generic powertrain model

diferent technologies are used for diferent powertrain concepts to satisfy this demand. For example, we assume that in case of a powertrain without an ICE, the energy for heating the cabin has to be supplied by a Positive Temperature Coefficient (PTC) thermistor and thus by the traction battery energy. For the results within this work, we use the model introduced in Jardin et al. ([2019\)](#page-27-13) to calculate the secondary energy demand which is calculated frst and then considered in the energy management of the operating strategy inside the primary consumption model.

5.1 Generic powertrain model

The energy consumption of a powertrain variant depends on the respective driving cycle, the parametrization of the powertrain and the operating strategy. A generic powertrain model is derived to diferentiate between multiple powertrain concepts by including or omitting single parts of the powertrain through a binary encoding, as shown in Fig. [7.](#page-11-0)

The major components of the powertrain modelled are the energy converters (Internal Combustion Engine ICE, Electric Machine EM and Fuel Cell FC), the (sub-)transmissions and the battery. The powertrain concepts and their respective powertrain encoding investigated in this work are shown in Table [6](#page-11-1). When the binary value of a specifc component is zero, the component has no efects on the modelling of the respective powertrain. For instance, since the ICEV-E10 has no

EM, only the consumption of the ICE is calculated and no emissions of the EM are estimated for production and end-of-life phases for this vehicle.

The primary consumption model is based upon a backward facing formulation of the longitudinal dynamics of the vehicle, assuming that the lateral and vertical dynamics only have a negligible efect on the consumption. Therefore no driver model is needed and it is assured that all vehicles exactly follow the driving cycle. With the driving cycle as input, a driving resistances equation estimates the traction demand at the wheels taking drag, rolling resistance and acceleration resistance into account. The traction demand is then met by multiple energy converters managed by the operating strategy, considering the efficiencies of energy converters and battery. The resulting operating points of the energy converters fnally determine the respective energy consumption of the vehicle.

A map-based approach is used to represent the efficiency characteristics of the energy converters. Gridded efficiency data as a function of torque and speed from measurements and literature (An and Binder [2016](#page-26-2), [2017\)](#page-26-3) is linearly interpolated to give an estimation of the efficiency in each operating point. The efficiency of the FC is approximated by a 1-dimensional function of electric output power (Noreikat [2013](#page-27-20)). Transmissions and batteries are modelled with constant efficiencies, assuming that the sensitivity of the REI with regard to transmission and battery efficiencies is rather low.

5.2 Operating strategy

To preserve the comparability of diferent powertrain concepts, an operating strategy is used for all diferent powertrains in the same way. The operating strategy chooses the operating points of the energy converters by deciding on gear shifting and torque split between the ICE and the EM. A formulation of the Equivalent Consumption Minimization Strategy ECMS is applied that yields locally optimal operating points in each time step t_i ($\Delta t = 1$ s) defined by the optimal torque split between the energy converters $ts^*(t_i)$ and gear mode $gm^*(t_i)$, i.e. the respective transmission ratio, with respect to a virtual overall consumption that weights the electric power share by the balancing cost factor *s*. A cost function *J* is defned, representing an equivalent fuel mass fow rate of electric and petrochemical power, which is minimized in every time step of the discretized driving cycle.

$$
J(gm, ts, t_i) = b_e(gm, ts, t_i) T_{ICE}(gm, ts, t_i) n_{ICE}(gm, t_i)
$$

+ $s \frac{1}{LHV} \frac{1}{n_{EM}(gm, ts, t_i) n_{bat}} T_{EM}(gm, ts, t_i) n_{EM}(gm, t_i)$
ts^{*}(t_i), gm^{*}(t_i) = arg min J(gm, ts, t_i)

In the equations, b_e denotes the brake specific fuel consumption, LHV the lower heating value *T* the torque, *n* the rotational speed and η the efficiency.

For concepts with a second energy source alongside the battery, the energy management of the operating strategy always assures that the battery is fully depleted at the end of the given trip distance by adjusting *s* in a separate iteration loop. It is assumed that this results in the lowest REI possible for the concepts and hence ensures the comparability between diferent concepts. In the same fashion, the cost factor for electric powertrain concepts without plug-in functionality is determined so that they operate in charge sustaining mode. The trade-off between e.g. a higher battery capacity (and thus high emissions during battery production) and a higher usage of fuels in the operational phase of the vehicle with regard to the REI is dealt with in the optimization approach.

5.3 Powertrain scaling approach

Since the approach in this work optimizes the REI of the vehicles considering all phases of the life cycle, the sizing of the powertrain components is not only relevant for the energy consumption during operational phase, but also for production and end-of-life emissions. The parameters of the vehicle can be divided into two groups, dependent on whether or not they are a function of the design parameters. The latter group comprises parameters for the basic vehicle body that are identical for all vehicle concepts, e.g. drag coefficient, frontal area or base vehicle body mass. Efficiency maps, maximum power ratings of the energy converters and masses of the powertrain components depend on the design parameters and thus are afected by the vehicle optimization process.

In our approach, the sizing of the powertrain components is based on a parametrization of a limited number of design parameters. Especially the diferences in the efficiency characteristics of the energy converters with respect to the design parameters, i.e. their power ratings, need to be considered. We mostly address this by applying a scaling approach to the efficiency maps of reference energy converters. With respect to the EM, a reference synchronous machine is defned (An and Binder [2016,](#page-26-2) 2017) and a torque based scaling method is applied to its efficiency map dependent on the peak power of the EM $P_{EM, max}$ which is part of the design parameter set *d*.

$$
\frac{T_{\text{EM,max}}}{T_{\text{ref,max}}} = \frac{P_{\text{EM,max}}}{P_{\text{ref,max}}}
$$
\n
$$
\eta_{EM}(T_{EM}, n_{EM}) = \eta_{ref}\left(T_{EM}\frac{P_{ref,max}}{P_{EM,max}}, n_{EM}\right)
$$

Concerning the FC, it is assumed that the efficiency as a function of FC power P_{FC} normed by its maximum power $P_{\text{FC},max}$ remains the same with different rated powers, thus

$$
\eta_{\text{FC}}(\mathbf{P}_{\text{FC}}) = \eta_{\text{ref}}\left(P_{\text{FC}}\frac{P_{\text{FC},\text{ref},\text{max}}}{P_{\text{FC},\text{max}}}\right)
$$

In order to avoid the scaling of ICE efficiency maps which is subject to large uncertainties, a database of diferent rated ICE powers is built up from which the optimizer can select a discrete ICE with its respective efficiency map by its index in the ICE database N_{ICE} . Due to the absence of a comprehensive database of CNG

engines, we also use the efficiency maps of the petrol engines for CNG, which possibly underestimates the higher efficiencies of a dedicated CNG engine.

As mentioned before, the masses of the vehicle components are key for estimating the emissions during production and end-of-life phases with regard to the design parameter set *d*. For several components like transmissions and the battery, the masses are calculated based on empirical correlations as a function of the design parameters. For the remaining components, nonlinear regression curves are derived to obtain a functional relationship between the component masses and the design parameters.

5.4 Emissions modelling

The emissions during production and end-of-life phases are modelled as linear functions of the vehicle component masses *mj* .

$$
G_j(\boldsymbol{d})=c_j m_j(\boldsymbol{d}),
$$

with j indexing the respective component, G denoting the emissions and c the emission coefficient.

Emissions during the operational phase consist of the direct tailpipe emissions and the emissions of the fuel and electricity supply.

Several feedback efects concerning the parameter scaling arise from the modelling approach, for example regarding the vehicle range. To increase the range of a BEV, the capacity of the battery can be increased, which in turn increases the vehicle mass and may lead to a higher energy consumption, reducing the range of the vehicle. These feedback efects are covered and resolved by the applied genetic algorithm.

6 Results

Within this section, the results of the comparative assessment of the REI of the different powertrain concepts for the investigated driving profles are presented. For all three profles a range of 350 km is required to achieve long-range capability. For the reference profle, a detailed description of the optimized parametrizations and the operating behaviour of a chosen vehicle is given. For the further profles, only the fnal results are shown.

6.1 Results for the reference profle

In Table [7](#page-15-0), the resulting parametrizations of the diferent powertrain concepts are shown. As explained in Sect. [3](#page-3-0), the parametrizations enable a minimum REI, while fulflling the design constraints.

The BEV class concepts require an installed gross battery capacity of 82–84 kWh dependent on the powertrain concept to reach the desired range on the highway cycle. Due to the weight of the battery, the total mass of these vehicles is

Table 7 Identified powertrain concept parametrizations for the reference profile **Table 7** Identifed powertrain concept parametrizations for the reference profle

signifcantly larger compared to the other concepts. Because the BEV-1 concept is not equipped with a shiftable transmission, a high powered EM is necessary to fulfl the demanded launch torque with a rather low transmission ratio that enables to reach the required maximum velocity. The BEV-2 concept on the other hand can fulfl the design constraints with a lower power rating (based on the scaling of the maximum torque), using a high transmission ratio to enable the launch torque and a lower ratio to reach the maximum velocity. The downsized machine and the option to choose operating points result in higher efficiency for the BEV-2, and thus a decreased electric consumption as shown in Fig. [8.](#page-16-0)

Regarding the FCEV class, one concept with (FCPHEV) and one without (FCHEV) the possibility of external charging are considered. The optimized FCHEV is characterized by a small battery capacity and a high power FC. The FCPHEV, on the other hand, has a higher battery capacity and needs less power from the FC to satisfy the design constraints. It can drive short distances purely electric, but uses the FC on longer trip distances.

For the PHEV class, the PHEV-E10 and PHEV-CNG are parametrized in almost similar manner, see Table [7](#page-15-0).

As an example for the modelling within the optimization environment, the operating behaviour of the PHEV-E10 on the Artemis highway cycle on a trip distance of 350 km is shown in Fig. [9.](#page-17-0) The operating points of the traction machines and the gears are chosen depending on the given driving cycle, the specifc parametrization and the available battery electric energy. The driving behaviour is trimmed, such that the available battery capacity is depleted at the end of the trip. The operating strategy enables to drive solely with the EM or the ICE or in combination to perform load point shifts (boosting and shifting). Based on the consumption orientated operating strategy, presented in Sect. [5,](#page-10-0) the consumption of electric energy, petrol, CNG or hydrogen are calculated for each parametrization of all powertrain concepts within the optimization environment.

Finally, the resulting REI for each powertrain concept is shown in Fig. [10.](#page-18-1) First, it can be seen that conventional vehicles result in the highest REI compared to the electrifed powertrain concepts for the reference profle, while the use of CNG is benefcial to improve the REI within the ICEV class because the specifc

Fig. 8 Electricity, fuel and hydrogen consumption of all powertrain concepts in kWh/100 km for the reference profile (1 L petrol-E10 corresponds 8.95 kWh, 1 kg $H₂$ corresponds to 33.42 kWh, 1 kg CNG corresponds to 12.83 kWh)

Fig. 9 Exemplary operating behavior within the vehicle simulation model of the PHEV-E10 on the highway cycle of the reference profle. Subfgure **a** shows the driving cycle and the time-dependent motor torques and state of charge of the battery. The colors indicate operating modes like purely electrical driving, combustion engine driving or hybrid modes like boosting for the highest traction power demands. Subfigure **b** shows the corresponding operating points of the ICE in the map of brake specific fuel consumption (bsfc). Subfgure **c** shows the operating points of the EM

emissions per chemically stored energy are lower for CNG. The BEV class concepts outperform the conventional concepts. The production of the BEV concepts lead to signifcantly higher emissions, especially due to the battery production. However, the overall high efficiency of the EMs, combined with the assumption of reduced specifc emissions during the energy production in 2030, lead to an overall reduced REI. Within the considered concepts of the BEV class, the twospeed BEV (BEV-2) shows the best results.

Fig. 10 Optimized REI for the powertrain concepts on the reference profle

Fig. 11 Emissions of CO2-eq. during the production of the vehicle components

Within the FCEV class, the plug-in concept performs signifcantly better. There are two main reasons for this. First, the specifc emissions in the supply of hydrogen are rather high (see Table [5\)](#page-10-2) and the FCPHEV uses less hydrogen, since it has a plug-in possibility. Second, the FC shows a lower efficiency compared to the supply of electric energy via the battery. While the FCHEV leads to a worse REI compared to the BEV class, the FCPHEV shows an improvement.

The PHEV class concepts perform best for the reference profle. The installed battery capacity of around 15 kWh is sufficient to enable a high percentage of purely electric driving, reducing the consumption of fuel, as shown in Fig. [8](#page-16-0). Due to the moderate weight increase of the PHEV vehicles, the shiftable transmission and the downsizing of the EM lead to an efficient purely electric driving. For this assessment, it is assumed that the vehicles are charged prior to each trip, further reducing the fuel demand. In case of diferent usage behaviour and non-regular

charging, the resulting REI would increase. The beneft of using CNG instead of petrol as fuel is shown again by the results of the PHEVs.

Further details about the production emission modelling of the powertrain components are given for one concept of each powertrain class in Fig. [11](#page-18-0). The component weights and the resulting emissions in the production phase are estimated for each powertrain parametrization using scaling approaches (as explained in Sect. [5\)](#page-10-0). Most signifcant impacts are due to the batteries with high capacity, the glider, the EM and power electronics as well as the hydrogen tank and the fuel cell.

6.2 Results for the pool vehicle profle

As shown in Sect. [4,](#page-5-0) the pool-vehicle is driven with high accelerations and top speeds. The specifc driving profle results in a difering optimal parametrization of the powertrain concepts, summarized in Table [8.](#page-20-0) Overall, higher traction powers (than for the reference profle) are preferred for all concepts due to the more dynamic driving.

In case of the ICEV class concepts, a higher powered ICE is even required. Despite the more dynamic driving behaviour, the distance-related fuel consumption is signifcantly decreased compared to the reference profle (see Figs. [12](#page-21-0) vs. [8](#page-16-0)). The reason for this is the much lower occurrence of low-efficient city driving with frequent acceleration and deceleration events, as summarized in Table [3,](#page-8-1) for which the ICEV concepts are disadvantageous.

The required battery capacity of the BEV concepts ranges from 91 to 94 kWh, which is higher compared to the reference profle, and the electric energy consumption is increased as well. As before, the two-speed BEV-2 concept leads to a signifcant downsizing efect of the EM and to a reduced consumption, which again enables a reduced battery capacity.

The PHEV concepts are equipped with a much larger battery capacity and a higher powered EM compared to the reference profle, which enables a high percentage of pure electric driving and frequent boosting with both energy converters on the dynamic driving profle. Interestingly, the PHEV-CNG concept is equipped with a slightly smaller battery capacity and slightly less electric power, which leads to a slight shift towards a higher use of fuel, due to the beneft of CNG over petrol considering $CO₂$ emissions.

In Fig. [13,](#page-21-1) the resulting REI of all powertrain concepts for the pool-vehicle profle are shown. Compared to the reference profle, the REI of all powertrain concepts is increased except for the ICEV class, which is due to the decreased signifcance of city driving for this profle. As for the reference profle, the BEV concepts show lower REI compared to the ICEV concepts in 2030, even though the estimated beneft is signifcantly reduced for the pool-vehicle profle.

Regarding the vehicles of the FCEV class, the results difer signifcantly again. The FCPHEV profts from the capability to charge external electricity and performs better than the BEV concepts. The FCHEV on the other hand leads to the worst results of the considered concepts due to the high emissions in the supply of hydrogen (Table [5](#page-10-2)).

Table 8 Identified powertrain concept parametrizations for the pool-vehicle profile

Fig. 12 Electricity, fuel and hydrogen consumption of all powertrain concepts in kWh/100 km for the pool-vehicle profile. (1 L petrol-E10 corresponds 8.95 kWh, 1 kg H_2 corresponds to 33.42 kWh, 1 kg CNG corresponds to 12.83 kWh)

The PHEV concepts perform best for the pool-vehicle profle. The downsized EM and the lower overall weight result in more efficient pure electric driving compared to the BEV concepts. The battery capacity of around 37 kWh provides enough energy to achieve a high electric driving percentage, benefcial for the REI.

6.3 Results for the employee profle

The employee profile is characterized by efficiency oriented and defensive driving (see Sect. [4](#page-5-0)). During highway driving, an ACC system in speed mode was applied frequently to drive at a constant speed of 120 km/h. Higher velocities were completely avoided. The resulting parametrizations of the optimized powertrain concepts are summarized in Table [9](#page-22-0).

Table 9 Identified powertrain concept parametrizations for the employee profile

The required gross battery capacity of the BEV concepts is reduced to 72 respectively 75 kWh, because of the benefcial driving behaviour. Again, the potential downsizing efect for the BEV-2 compared to the BEV-1 can be observed.

The installed total traction powers of all concepts are similar to the parametrizations in the reference profle. For those two profles, the maximum power demand is due to the design constraints and not the driving profle, leading to similar critical requirements. Because the battery capacities and the total vehicle mass are smaller for the employee profle, the necessary installed traction power is even slightly lower compared to the reference profle.

There is an interesting diference in the design of the PHEV-E10 and PHEV-CNG. The battery capacity and EM power of the PHEV-CNG are lower, leading to an increased use of the ICE. The increased energy demand, shown in Fig. [14,](#page-23-0) is a result of the optimization regarding REI. If the objective would have been to minimize the total energy demand, a parametrization with a higher battery capacity would have been identifed.

The results of the minimum REI optimized variants for each powertrain concept are shown in Fig. [15.](#page-24-0) Due to the efficiency orientated driving, the resulting REI is lower for all concepts, compared to the other driving profles.

Within the classes, equal conclusions as for the other profles can be drawn. A two-speed BEV-2 yields greater potential compared to fxed-speed BEV-1. A fuel cell vehicle should be designed as a plug-in vehicle when trying to minimize the ecological impact. The use of CNG is again shown to be benefcial for ICEV and PHEV concepts.

The PHEV concepts show the lowest REI values for the employee profle, followed closely by the FCPHEV concept.

6.4 Comparison of the driving profles

In Table [10](#page-24-1) the resulting REIs for the optimized powertrain concepts are shown for the three investigated driving profles. Furthermore, the reduction of the REI compared to the ICEV-O in % and the span of results are summarized.

The BEV concepts can lead to a mean reduction of the REI of 20.5–24.5% for long-range capable vehicles compared to a conventional ICEV on the investigated driving profles. In the class of FC concepts, the results are highly sensitive to an

Fig. 14 Electricity, fuel and hydrogen consumption of all powertrain concepts in kWh/100 km for the pool-vehicle profile. (1 L petrol-E10 corresponds 8.95 kWh, 1 kg H₂ corresponds to 33.42 kWh, 1 kg CNG corresponds to 12.83 kWh)

Fig. 15 Optimized REI for the powertrain concepts on the reference profle

REI in g CO ₂ /km ICEV-E10		ICEV-CNG	BEV-1	BEV-2	FCHEV	FCPHEV	PHEV-E10	PHEV-CNG
Reference profile	235	211	162	149	181	142	133	131
reduction to ICEV-E10 in %		10.2%	31.1%	36.6%	23.0%	39.6%	43.4%	44.3%
Pool vehicle profile	192	174	158	153	205	151	148	146
reduction to ICEV-E10 in %		9.4%	17.7%	20.3%	$-6.8%$	21.4%	22.9%	24.0%
Employee profile	157	142	137	131	170	127	125	124
reduction to ICEV-E10 in %		9.6%	12.7%	16.6%	$-8.3%$	19.1%	20.4%	21.0%
mean reduction to ICEV-E10 in %		9.7%	20.5%	24.5%	2.6%	26.7%	28.9%	29.7%
span	78	69	25	22	35	24	23	22

Table 10 Comparison of the REI values (gCO₂-eq./km) for chosen powertrain concepts on the three investigated driving profles

existing plug-in functionality. The REI of the FCHEV is strongly dependent on the respective driving profle. It achieves a reduced REI of 23% compared to the ICEV-E10 in the reference profle, but performs worse in the other two driving profles. Overall, a slight mean reduction of the REI compared to the ICEV-E10 is enabled. The FCPHEV, on the other hand, achieves a reduction in all of the driving profiles, leading to a mean reduction of 26.7%. Both concepts offer further potential if the emissions in the supply of hydrogen can be further reduced.

The PHEV concepts achieve the highest potential on all investigated driving profles and can reduce the REI by 28.9–29.7%.

The span of the results of the three profles indicates the sensitivity of the REI of powertrain concepts regarding diferent driving profles. As can be seen, the span value of the ICEV concepts is signifcantly higher than the span values of the remaining concepts. The BEV-2, the FCPHEV and the PHEV class concepts show similar span values of $22-24$ CO₂-eq./km. Furthermore, the span of the REI values shows that the driving profle is of high relevance for the resulting emissions. For this reason, apart from the identifcation of REI-optimal powertrains, further research on the efficient control of the vehicle's longitudinal dynamics should be carried out.

Overall, the FCPHEV and the PHEV concepts do not only show low REI values, the results are also less sensitive regarding diferent driving profles. The benefcial results for these concepts, determined by this study, can only be achieved if the vehicles are regularly charged such that the available battery capacity can be used efficiently.

7 Summary and conclusions

In this work, we present a method aiming for an unbiased comparison of the ecological impact of diferent vehicle powertrain concepts based on a holistic optimization framework.

Present studies mostly refer to existing series production vehicles when performing a comparison of the ecological impact of diferent powertrain concepts, thus only determining the ecological impact of the respective vehicle and not the powertrain concept. We argue that in order to obtain an unbiased comparison of the powertrain concepts, the parametrization of the powertrain must be optimized to yield a minimum ecological impact before comparison. To address this, we created an optimization framework using a genetic algorithm that determines the optimal powertrain parametrization under given design constraints and for specifc driving profles. The objective function of the optimization is defned to be the Real Ecological Impact (REI) of a vehicle, which refers to the GHG emissions of the vehicle emitted over the entire life cycle when considering real driving profles.

The real driving profles that consist of data recorded during vehicle usage are compressed into driving cycles by a cycle synthesis process for an efficient evaluation of the energy consumption inside the optimization framework. A vehicle simulation with a generic powertrain model is used for energy consumption estimation which enables the simulation of diferent powertrain concepts and which is able to incorporate the efects of diferent powertrain parametrizations on the consumption. In addition to the GHG emissions during operational phase, the emissions of production and end-of-life phases are calculated as a function of the design parameter set, i.e. the powertrain parametrization.

In this work, we analyzed 8 diferent powertrain concepts comprising vehicles with diferent energy converters (ICE/EM/FC) and diferent energy sources (petrol, CNG, battery, hydrogen) on 3 diferent driving profles for a set of predicted

technological boundary parameters for 2030 like the specifc emissions of energy production. Our results show that the underlying driving profle has a signifcant impact on the REI of the powertrain concepts, demonstrating the necessity of a method that evaluates the powertrain concept based on real driving data. In general, concepts with plug-in functionality, i.e. external battery charging, show a smaller REI throughout all of the driving profles. The optimization approach especially reveals the potential of hybrid vehicles, since both energy converters are sized in a way that takes advantage of their synergetic use, enabling the downsizing of both energy converters—depending on the driving profle. Therefore, the PHEV concepts achieve the lowest REI in all of the investigated driving profles. When looking at the sensitivity of the powertrain concepts in respect to the driving profle, the BEV and PHEV concepts are least sensitive to diferent driving profles. It should be noted though that in this work, the demanded driving range is the same throughout all driving profles, therefore the sensitivity of the REI regarding the range, which is generally very high for BEV, as it is shown in previous studies (Esser et al. [2019](#page-27-1)), is not considered here.

The generic method we present here also enables arbitrary further investigations with diferent powertrain concepts and driving profles while always ensuring the comparability between diferent powertrain concepts.

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