have predominantly $a p$ conformations and whose rotational barriers should even be higher.

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## Surprising Transformation of Azulene by Cycloaddition with 1-(Diethylamino)propyne ${ }^{[* *]}$

## By Klaus Hafner, Hans Jörg Lindner, and Werner Ude ${ }^{[*]}$

Azulene (1) reacts as the prototype of nonbenzenoid "aromatic" hydrocarbons ${ }^{[1]}$ with electrophiles and nucleophiles via substitution at positions $1(\equiv 3)$ and $4(\equiv 8)$, respectively, or 6 . With electron-poor alkynes such as dimethyl acetylenedicarboxylate, (1) can undergo not only additive substitution ${ }^{[2 a]}$ but-like electron-rich alkenes ${ }^{[2 b]}$ also thermally induced dipolar cycloaddition at the five-membered ring; subsequent valence isomerization of the primary adduct (2) yields the next-higher homologue of (1), viz. the heptalene derivative
$(3)^{[2 c]}$. We have now attempted reaction of (1) with electronrich alkynes such as 1-(diethylamino)propyne (4) in order to obtain the adduct (5) via (presumably also dipolar) cycloaddition to the seven-membered ring. Valence isomerization of (5) could lead to the hitherto unknown cyclopentacyclononene system ( 6$)^{[3]}$.

Surprisingly, (1) and (4) give a colorless crystalline 1:1 adduct ( 7 ), m. p. $76^{\circ} \mathrm{C}$, even at room temperature. Compound (7) was isolated after 20 d in $83 \%$ yield (based on reacted azulene) ${ }^{[4]}$. The constitution of the bridged spiro[4.5]decatetraene (7) has been confirmed by X-ray structure analysis ${ }^{[5]}$ and ${ }^{1} \mathrm{H}$ - and ${ }^{13} \mathrm{C}-\mathrm{NMR}$ spectra (Table 1). Thus (7) exists in the crystal as a dimer $(8)$ formed by [ $4+2$ ] cycloaddition of its cyclopentadiene moiety whereas a rapid retro-Diels-


Alder raction to give (7) occurs in solution (benzene, trichloromethane). This indicates only low activation energy for dimerization of (7) and for the cycloreversion of (8) ( $E_{\mathrm{a}}<25$ $\mathrm{kcal} / \mathrm{mol})^{[6]}$.

Formation of (7) probably involves the primary adduct (5) containing the structural unit of a spiro[3.4]octa-1,5,7triene, which has been shown by R. D. Miller et al. ${ }^{[7]}$ to resist isolation at $-10^{\circ} \mathrm{C}$, partly due to a presumably 1,5 sigmatropic ring expansion to form a dihydropentalene. Analogous rearrangement of the 1,3-diene-bridged derivative (5) should lead to (7).

In the presence of catalytic amounts of glacial acetic acid at room temperature, (7) undergoes fast isomerization in solution $\left(\mathrm{CH}_{3} \mathrm{OH}\right)$-possibly by renewed 1,5 sigmatropic alkyl shift - to give $20 \%$ of the 1,8 -dihydrocyclopent $[c, d]$ azulene derivative (9) (lemon yellow needles, m.p. $87^{\circ} \mathrm{C}$; see Table 1), which is transformed under the same reaction conditions


[^1]within $c a$. 3 h to give 2-(diethylamino)-1-methyl-3,4-dihydrocyclopent [cd] azulene ( 10 ) (brown platelets, m. p. $52^{\circ} \mathrm{C}$, yield $80 \%$; see Table 1). In boiling tetralin, on the other hand, (7) gives ( 10 ) directly in $30 \%$ yield. The same tricyclic com-
pound also results from reaction of (1) with (4) in boiling tetralin (yield $17 \%$ ), probably also via (7) as intermediate.

In contrast to (1), 4,6,8-trimethylazulene (11) reacts with (4) only in boiling tetralin; cycloaddition is hindered by the alkyl groups in positions 4 and 8.
The major product isolated was found to be $15 \%$ of the dihydrocyclopentaindene derivative (14) (yellow platelets,


Table 1. Spectroscopic data of (7), (9), (10), and (14).

| Cpd. | $100 \mathrm{MHz}^{1} \mathrm{H}-\mathrm{NMR}(\delta, J)$ in <br> $\mathrm{CDCl}_{3}$ | $\mathrm{V}, \lambda_{\text {max }}[\mathrm{nm}](\log )$ in $n$-hex- <br> anc |
| :--- | :--- | :--- |

(7) $[\mathrm{a}] \begin{aligned} & 6.37\left(\mathrm{dd}, J_{1}=4.5 \mathrm{~Hz}, J_{2}=2 \mathrm{~Hz} ; \quad 328 \text { (3.09) sh, } 259 \text { (3.89) }\right. \\ & 1 \mathrm{H}), 6.2-5.6(\mathrm{~m}, 5 \mathrm{H})\end{aligned}$
$1 \mathrm{H}), 6.2-5.6(\mathrm{~m}, 5 \mathrm{H})$
4.9-4.7(m, 1 H), 3.2-2.8(m, 1H), 2.97, 2.93 (q, $\quad J=7 \mathrm{~Hz} ; 4 \mathrm{H}$, $\mathrm{CH}_{2}-\mathrm{CH}_{3}$ ), 1.70 ( $\mathrm{d}, \mathrm{J}=2 \mathrm{~Hz}$; $\left.3 \mathrm{H}, \mathrm{CH}_{3}\right), 0.98(\mathbf{t}, J=7 \mathrm{~Hz} ; 6 \mathrm{H}$, $\mathrm{CH}_{2}-\mathrm{CH}_{3}$ )
(9) $6.8-6.5(\mathrm{~m}, 1 \mathrm{H}), 6.65,6.25$ (d, $J=4.5 \mathrm{~Hz} ; 2 \mathrm{H}), 6.0-5.6(\mathrm{~m}$, 2 H ), 5.5-5.4 (m, 1 H ), $4.9-4.0(\mathrm{~m}$, $6 \mathrm{H}), 1.48\left(\mathrm{~d}, J=7 \mathrm{~Hz} ; 3 \mathrm{H}, \mathrm{CH}_{3}\right)$, $1.30\left(\mathrm{t}, J=7 \mathrm{~Hz} ; 6 \mathrm{H}, \mathrm{CH}_{2}-\right.$ $\mathrm{CH}_{3}$ )
(10) $7.61(\mathrm{~d}, J=10 \mathrm{~Hz} ; 1 \mathrm{H}), 7.2-6.4$ (m, 3H), 3.7-3.2 (m, 4H, $\mathrm{CH}_{2}$ $\left.\mathrm{CH}_{2}\right), 3.46(\mathrm{q}, J=7 \mathrm{~Hz} ; 4 \mathrm{H}$, $\left.\mathrm{CH}_{2}-\mathrm{CH}_{3}\right), 2.52\left(\mathrm{~s}, 3 \mathrm{H}, \mathrm{CH}_{3}\right)$, 1.16 ( $\mathrm{t}, \mathrm{J}=7 \mathrm{~Hz} ; 6 \mathrm{H}, \mathrm{CH}_{2}-$ $\mathrm{CH}_{3}$ )
(14) $6.55\left(\mathrm{dd}, J_{1}=4 \mathrm{~Hz}, J_{2}=2.5 \mathrm{~Hz}\right.$; $1 \mathrm{H}), \quad 6.16 \quad\left(\mathrm{dd}, \quad J_{1}=4 \mathrm{~Hz}\right.$, $J_{2}=1 \mathrm{~Hz} ; \quad 1 \mathrm{H}$ ) , $5.80 \quad(\mathrm{dd}$, $\left.J_{1}=2.5 \mathrm{~Hz}, J_{2}=1 \mathrm{~Hz} ; 1 \mathrm{H}\right), 5.60$, 5.44 (me, 2H), 3.9-3.3 (m, 4H, $\mathrm{CH}_{2}-\mathrm{CH}_{3}$ ), 1.93, 1.65 (d, $\left.J=1.5 \mathrm{~Hz} ; 6 \mathrm{H}, \mathrm{CH}_{3}\right), 1.34,1.16$ (s, $6 \mathrm{H}, \mathrm{CH}_{3}$ ) $1.28 \mathrm{ft}, J=7 \mathrm{~Hz}$; $\left.6 \mathrm{H}_{4} \mathrm{CH}_{2}-\mathrm{CH}_{3}\right)$
[a] $25.2 \mathrm{MHz-}{ }^{13} \mathrm{C}-\mathrm{NMR}$ (b) in $\mathrm{CDCl}_{3}: 165.8$ (quart. C), 140.7 (2 quart. C), $135.3,135.1,130.5,124.3$ ( $1 \mathrm{C}-\mathrm{H}$ each), $122.8(2 \mathrm{C}-\mathrm{H}), 115.6(\mathrm{C}-\mathrm{H})$, 69.1 (quart. C), $47.4\left(2 \mathrm{CH}_{2}-\mathrm{CH}_{3}\right), 44.2(\mathrm{C}-\mathrm{H}), 13.8\left(2 \mathrm{CH}_{2}-\mathrm{CH}_{3}\right), 13.2$ $\left(\mathrm{CH}_{3}\right)$.
m. p. $123-124^{\circ} \mathrm{C}$; see Table 1$)^{[8]}$, containing a resonance-stabilized aminofulvene moiety whose structure was established by spectroscopy and X-ray studies ${ }^{[9]}$. This thermally induced reaction should also involve initial formation of the primary adduct ( 12 ) amatogous to (5). which for steric rasons related to its substituents is no longer capable of 1,5 sigmatropic ring expansion but instead undergoes valence isomerization to the likewise unstable cyclopentacyclononene system (13). Valence isomerization in a manner characteristic of cyclononatetraene derivatives ${ }^{[10]}$ ultimately gives the thermally stable systems (14).

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(1), 275-51-4; (4), 4231-35-0; (5), 69258-21-5; (6), 69278-32-6; (7), 69258-22-6; (8), 69258-23-7; (9), 69258-24-8; (10), 69278-31-5: (11), 941-81-1; (12), 69258-25-9; (14), 69258-26-0

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## Facile Ring Enlargement of Azulene to Give the Cyclopentacyclononene System by Dipolar Cycloaddition ${ }^{[* *]}$

## By Klaus Hafner, Hans Jörg Lindner, and Werner Ude ${ }^{[*]}$

Azulene ( $1 a$ ) and $4,6,8$-trimethylazulene ( 1 b ) react with 1-(diethylamino)propyne (2) to form 1,3-diene-bridged spiro[3.4] octa-1,5,7-triene derivatives (3), which undergo stabilization by 1,5 sigmatropic ring enlargement to bridged spiro[4.5]decatetraene (4) or by valence isomerization via the cyclopentacyclononene (5) to give the dihydrocyclopentaindene derivative $(6)^{[1 a]}$. Owing to the valence isomerization characteristic of cyclononatetraenes ${ }^{[1 \mathrm{~b}]}$, the azulene-homologous cyclopentacyclononene system could not yet be isolated. This result prompted a study of cycloadditions of (2) with azulenes bearing substituents which hinder or rule out valence isomerization of the nine-membered ring in (5). Inspection of models suggested that the readily accessible 1,2-dihydrocyclopent $[c d]$ azulene $(7)^{[2]}$ should be particularly suitable for this purpose.

The bridged azulene (7) reacts like azulene with (2) at room temperature to give a brownish red crystalline 1:1

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    [2] (5a) appears to have been prepared from 9 -formyltriptycenetosylhydrazone: I. I. Brunovlenskaya, T. A. Gudasheva, V. R. Skvarchenko, Zh. Org. Khim. 10, 1495 (1974); but the melting point $\left(388^{\circ} \mathrm{C}\right)$ and ${ }^{1} \mathrm{H}-\mathrm{NMR}$ data $[\delta=7.4(\mathrm{~m}, 12 \mathrm{H}), 7.0(\mathrm{~m}, 14 \mathrm{H}), 5.4(\mathrm{~s}, 2 \mathrm{H})$, in thionyl chloride], are not in agreement with those found by us (Table $1, \mathrm{CDCl}_{3}$ ), nor those recorded in thionyl chloride for comparison.
    [3] We synthesized (4)-(6) by reaction of the corresponding anthracenes with bewzine and 3,6 -dimethylbenzyne respectively. Elemental analyses and unclrowopic data are consistent with the given structures.
    [4] Ihe outer ( $H_{1}$ groups appear as a sharp singlet; the absorption of $\mathrm{H}-8$, 11-13. however, is likewise broadened at room temperature; cooling leads to a sharp multiplet.
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    [6] According to Stuart-Briegleb space-filling models such marked steric interactions are not to be expected, while CPK models suggest a very rigid structure. Present-day space-filling models are thus of limited use for predicting such steric interactions in large molecules.
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    [4] All new compounds gave correct elemental analyses and mass spectra.
    [5] Triclinic needles Pī. $a=1773(1), \quad b=1231(1), c=680.7(5) \quad \mathrm{pm}$, $\alpha=101.00(5), \beta=85.94(5), \gamma=106.63(5)^{\circ}, Z=2 ; 2148$ observed reflections with $|\mathrm{F}|>2 \sigma_{\mathrm{F}}$ (STOE two circle diffractometer, $\mathrm{Cu}_{\mathrm{K} \alpha}$ radiation $i=154.18 \mathrm{pm})$; refined to $R=0.108$ [11].
    [6] Dimerization of 1,3 -di-tert-butylpentalene-5-carbaldehyde, -5 -carbonitrile, and -5-carboxylic methyl ester, as well as cycloreversion of the dimers have similarly low activation energies (M. Suda, K. Hafner, Tetrahedron Lett. 1977, 2449).
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    [8] In addition, $9 \%$ of an isomer (colorless leaflets, m.p. $86^{\circ} \mathrm{C}$ ) of (14) is isolated, which is probably a diethylamino(tetramethyl)dihydro-sindacene.
    [9] Orthorhombic platelets, $\quad \mathrm{P} 2_{1} 2_{1} 2_{1}, \quad a=1675(1), \quad b=1331(1)$, $c=754.6(5) \mathrm{pm}, Z=4 ; 764$ observed reflections with $|\mathrm{F}|>2 \sigma_{\mathrm{F}}$ (STOE two circle diffractometer, $\mathrm{Cu}_{K_{x}}$ radiation $\ell=154.18 \mathrm{pm}$ ); refined to $R=0.070$ [11].
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