



PAPER

Physical characterization of ^3He ion beams for radiotherapy and comparison with ^4He

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There is increasing interest in using helium ions for radiotherapy, complementary to protons and carbon ions. A large number of patients were treated with ^4He ions in the US heavy ion therapy project and novel ^4He ion treatment programs are under preparation, for instance in Germany and Japan. ^3He ions have been proposed as an alternative to ^4He ions because the acceleration of ^3He is technically less difficult than ^4He . In particular, beam contaminations have been pointed out as a potential safety issue for ^4He ion beams. This motivated a series of experiments with ^3He ion beams at Gesellschaft für Schwerionenforschung (GSI), Darmstadt. Measured ^3He Bragg curves and fragmentation data in water are presented in this work. Those experimental data are compared with FLUKA Monte Carlo simulations. The physical characteristics of ^3He ion beams are compared to those of ^4He , for which a large set of data became available in recent years from the preparation work at the Heidelberger Ionenstrahl-Therapiezentrum (HIT). The dose distributions (spread out Bragg peaks, lateral profiles) that can be achieved with ^3He ions are found to be competitive to ^4He dose distributions. The effect of beam contaminations on ^4He depth dose distribution is also addressed. It is concluded that ^3He ions can be a viable alternative to ^4He , especially for future compact therapy accelerator designs and upgrades of existing ion therapy facilities.

1. Introduction

Proton and carbon ion radiotherapy are nowadays established methods for cancer treatment in several countries. In recent years, also helium ions are back in the interest for clinical cases where neither protons nor carbon ions are ideally suited. Helium ions show intermediate properties between protons and carbon ions with regards to radiation physics (lateral scattering and fragmentation) and radiobiology (Grün *et al* 2015, Krämer *et al* 2016). In the US heavy ion therapy project at the Lawrence Berkeley National Laboratory, 2054 patients were treated with passively scattered ^4He ions between 1975 and 1992 (Castro and Quivey 1977, Saunders *et al* 1985, Alonso *et al* 1989, Ludewigt *et al* 1991). Currently, patient treatment with scanned ^4He ions at the Heidelberger Ionenstrahl-Therapiezentrum (HIT) in Germany is about to go into operation and will start a new era in particle radiotherapy. At NIRS, Japan, a multi-ion therapy concept including ^4He ions is currently set up (Inaniwa *et al* 2017, 2020, Mizushima *et al* 2020) and also other ion therapy facilities, for instance CNAO in Italy and MedAustron in Austria, consider technical upgrades for helium ions (Norbury *et al* 2020).

In the original proposal of the HIT facility (HICAT), which was compiled by a working group at the Gesellschaft für Schwerionenforschung (GSI) in Darmstadt, Germany, the use of the more exotic ^3He isotope was foreseen instead of ^4He (Bär *et al* 2000, Haberer *et al* 2004). Therefore, a series of experiments with high energy ^3He ions was conducted at GSI in 2004 with the aim to explore their potential application in ion beam therapy. Physical and dosimetric as well as radiobiological experiments were performed. However, only few of the results obtained in those experiments can be found in the literature today: one article by Fiedler *et al* (2006)

presents PET images of phantoms irradiated with ^3He ions and another article by Elsässer *et al* (2010) presents cell survival data. The remaining physics/dosimetry data are unpublished up to now.

The rationale for the proposal of ^3He ion therapy in the HICAT proposal was the assumption that it could be technically difficult to accelerate and deliver clean ^4He beams using the HIT accelerator design (pre-acceleration in a 5 m long injector linac followed by a 6.5 Tm synchrotron). In the linac, ^4He ions can only be accelerated in their fully stripped charge state $^4\text{He}^{2+}$ because otherwise the final energy would be too low for injection into the synchrotron. Contamination with heavier ions is a known issue for $^4\text{He}^{2+}$ beams (Winkelmann *et al* 2012, Burigo *et al* 2020, Mizushima *et al* 2020) because they have a charge-to-mass-ratio (q/m) similar to several other fully stripped ions like $^{12}\text{C}^{6+}$, $^{14}\text{N}^{7+}$, $^{16}\text{O}^{8+}$, $^{20}\text{Ne}^{10+}$ and $^{36}\text{Ar}^{18+}$. Those ion species, if present in the acceleration phase of the synchrotron, can not be magnetically separated from the primary ^4He ions anymore and would be accelerated and delivered to the patient together with the therapy beam. At HIT, those technical issues were solved by installing a dedicated helium ion source, operating it with high purity ^4He gas (Helium 6.0) and adding a safety system based on a residual gas mass spectrometer that can detect gas leaks immediately (Winkelmann *et al* 2012). Previous clinical data exists only for ^4He and their lateral scattering was expected to be superior to ^3He due to their higher mass. Therefore, preparation of helium ion therapy at HIT was continued with ^4He and is meanwhile in a fully operational status.

Recently, experimental data for ^4He ions obtained during the preparation for helium ion therapy at HIT have been reported in several publications (Krämer *et al* 2016, Tessonier *et al* 2017a, 2017b, 2017c, Horst *et al* 2017, Rovituro *et al* 2017, Horst *et al* 2019). Combined with the ^3He data measured at GSI in 2004, this allows now a direct comparison of the physical properties of ^3He and ^4He ion beams. Therefore, part of the physics/dosimetry data for ^3He ions (nuclear fragmentation and Bragg curves in water) obtained at GSI was re-analyzed and is presented in this work together with the available ^4He data from HIT. This comparison is supported by simulations using the FLUKA Monte Carlo code. The problem of contaminations in ^4He beams and accelerator design aspects are briefly discussed as well.

2. Interaction of ^3He and ^4He ions with matter

The most important characteristic of ions for radiotherapy is their finite range in matter. An ion penetrating through material slows down continuously while transferring energy to atomic electrons and this electronic energy loss increases the slower the ion becomes. This increase of energy loss with decreasing velocity causes a dose maximum at the end of its range which is known as Bragg peak. For ions with different atomic number Z and mass number A , the range at the same velocity scales with the A/Z^2 -ratio (Schardt *et al* 2010). Therefore, the velocity (or the energy per nucleon) required to reach the same water depth is larger for ^3He than for ^4He . For a range of 30 cm in water, considered as the maximum target depth for ion beam radiotherapy, the required energies are 220 MeV/ u for ^4He and 260 MeV/ u for ^3He ions, respectively.

An important argument for using helium ions for radiotherapy are their scattering properties. The reduced lateral scattering compared to the lighter protons causes a sharper lateral dose fall-off at large penetration depths (Tessonier *et al* 2018), therefore, one could expect the same trend for ^3He ions and the heavier ^4He isotope. However, the lateral deflection of an ion in the Coulomb field of the target nuclei is not only affected by its mass but also by its velocity (Weber and Kraft 2009, Schardt *et al* 2010). Therefore, the increased lateral scattering of ^3He ions due to their lower mass is partly compensated by the higher velocity needed to reach the same penetration depth as with ^4He ions.

Another important interaction for light and heavy ions is nuclear fragmentation (Schardt *et al* 2010). Even if the difference between the ^3He and ^4He nucleus is only one neutron, there are remarkable differences between their nuclear fragmentation properties: ^4He ions can break up into ^3He , ^3H , ^2H and ^1H fragments plus neutrons (Norbury *et al* 2020) while the only possible fragments of ^3He ions are ^2H and ^1H plus neutrons. Since projectile fragments are produced at roughly the same velocity as the primary ions, their ranges scale with A/Z^2 of the primary ion range. Neutron-deficient fragments of the same element as the primary ion consequently stop before the Bragg peak while practically all other lighter fragments can penetrate beyond the Bragg peak creating the characteristic *fragment tail* in the depth dose profile of light and heavy ions. In the depth dose profile of ^4He ions that effect can be observed for its ^3He fragments which cause a dose build-up proximal to the Bragg peak. Since ^2He nuclei do not exist (two protons alone can not form a bound state), this dose build-up is missing in ^3He depth dose profiles where all possible fragments stop after the Bragg peak and contribute to the fragment tail. Secondary neutrons have very long interaction lengths and their effect on the dose profiles can be neglected in a first approximation.

The attenuation of the primary ions along their path through material (or the patient tissue) is determined by the total reaction cross section σ_R . It is the main physical parameter affecting the ratio of Bragg peak dose to entrance dose (peak-to-entrance ratio), therefore a small reaction cross section is a favorable property for ion

therapy. The question of whether the reaction cross section of water (H_2O), the reference medium in radiotherapy and fundamental component of biological tissue, is smaller for ^3He or ^4He ions is not straightforward to answer. On one hand, one could expect that the smaller radius of the ^3He nucleus compared to ^4He would lead to a smaller reaction cross section (geometrical approximation: $\sigma_R \sim A^{2/3}$ (Bradt and Peters 1950, Durante and Cucinotta 2011)). On the other hand, one could also speculate that the double-magic ^4He nucleus might be stabilized by nuclear shell effects leading to a smaller reaction cross section for ^4He projectiles. Measurements at 790 MeV/ u (Tanihata *et al* 1985) indicate the latter, however, other experiments around 50–100 MeV/ u measured almost equal reaction cross sections for both ion species (Millburn *et al* 1954, Ingemarsson *et al* 2000, 2001). A theoretical study by Ingemarsson and Lantz (2003) implies that the ratio of the reaction cross sections for ^3He and ^4He is energy-dependent due to the different matter density distributions in the two nuclei. The present work allows the first direct comparison of ^3He and ^4He nuclear reaction cross sections on water targets in the energy range relevant for ion therapy, since recent ^4He cross section measurements at HIT (Horst *et al* 2017, 2019) were performed at energies comparable to the ^3He experiments carried out at GSI in 2004.

3. Materials and methods

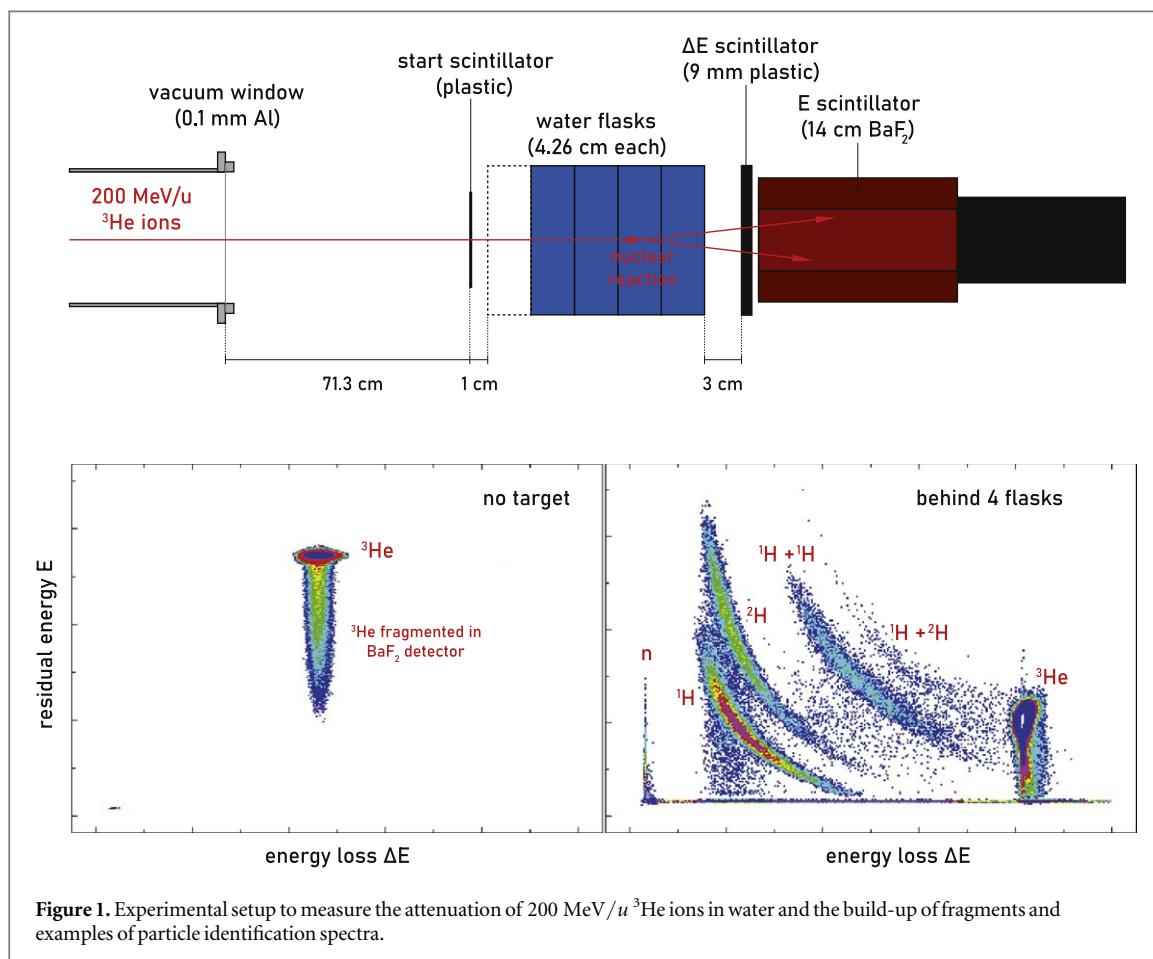
The following section describes the experimental setups for the characterization of ^3He ion beams and the Monte Carlo simulations performed in this work.

3.1. Experimental setups

The penetration of high energy ^3He ions through water was characterized using two different experimental setups. The experiments were performed in Cave A at GSI where ^3He ion beams with energies between 110 and 225 MeV/ u were delivered by the SIS18 heavy ion synchrotron.

3.1.1. Scintillator telescope for nuclear fragmentation measurements

The experimental setup to study nuclear fragmentation of 200 MeV/ u ^3He ions in water consisted of three scintillation detectors. A ^3He pencil beam with low intensity ($\sim 10^3$ particles s^{-1}) impinged on a thin start



scintillator and triggered the event-by-event data acquisition (a typical VME data acquisition system, similar to that described by Haettner *et al* (2013)). After the start scintillator, the primary ions penetrated a water absorber of varying thickness (5 flasks with a water-equivalent thickness of 4.26 cm each). The transmitted ^3He ions or the produced fragments, respectively, were then stopped in a ΔE - E -telescope consisting of a 9 mm plastic scintillator and a 14 cm thick BaF_2 scintillator. The ΔE - E -spectra provided the particle identification for separation of the different fragment species and the primary ions.

Figure 1 shows a schematic of the experimental setup and two examples of ΔE - E -spectra. All event clusters are well separated. The event numbers recorded for each particle were analyzed by graphical cuts and normalized to the number of trigger events in the start scintillator. Due to the low number of possible fragmentation channels for ^3He , the analysis of the multiplicity states ($^1\text{H} + ^1\text{H}$, $^1\text{H} + ^2\text{H}$) was also straightforward. The uncertainty of the measured attenuation and yields includes different components: the systematic uncertainty of the particle identification was estimated by varying the graphical cuts. The other systematic uncertainties (e.g. non-water materials like the flasks in the beam path and detector thresholds) were estimated as 10%. The statistical uncertainty from the limited number of recorded events were also included in the error bars, but are negligibly small.

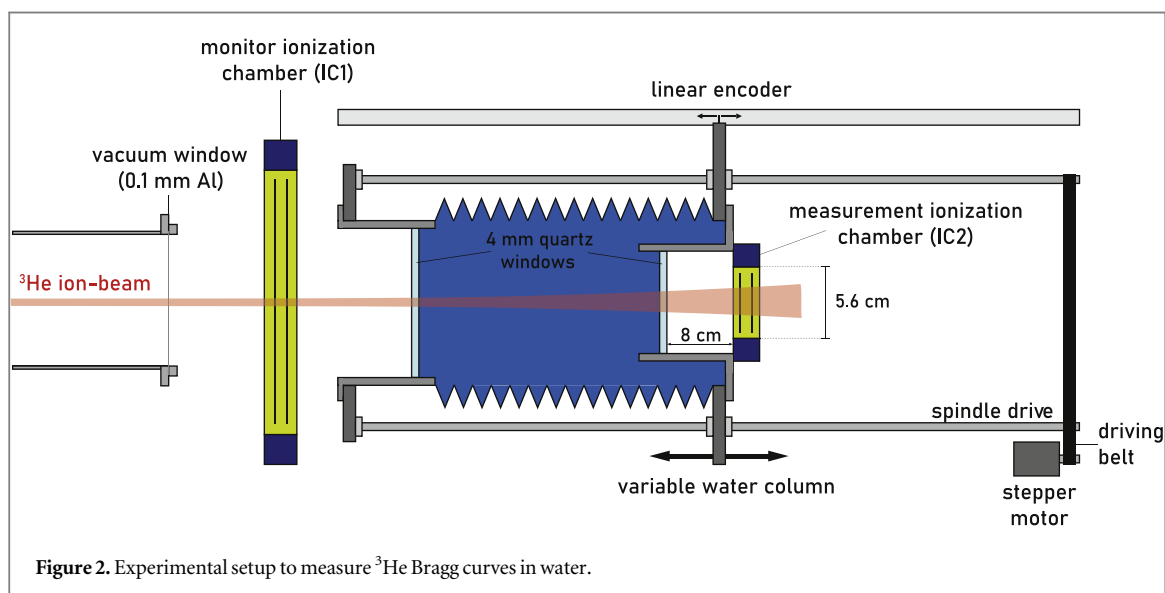
The scintillator telescope was placed close to the end of the water target (3 cm distance) to maximize its acceptance ($\sim 10^\circ$ relative to the entrance surface of the thickest target). The BaF_2 scintillator used had a hexagonal shape (inner diameter: 8.5 cm, outer diameter: 10 cm) and the plastic scintillator was slightly larger. For the primary ^3He ions it can be assumed that the telescope had full acceptance while not all fragments could be detected, since they can have rather broad angular distributions.

3.1.2. Water column for Bragg curve measurements

For the measurement of ^3He Bragg curves in water, a precision water column with two large area parallel plate ionization chambers (IC) (Schardt *et al* 2007) was used. The IC were read out by Keithley K6517A electrometers. The ratio between the charges released in the two IC is a measure of the laterally integrated dose. Between two measurements ($\sim 10^8$ particles per synchrotron spill) the thickness of the water column was varied. The length of the water column was varied using a stepper motor and read-out by an optical linear encoder (manufactured by Heidenhain) with $1\ \mu\text{m}$ relative accuracy. Figure 2 shows a schematic of the experimental setup.

The water equivalent thickness of the offset materials (vacuum window, IC, quartz windows, air gap) is well known. Each component has been characterized in advance by determining the Bragg curve shift when inserting them into the beam path. The uncertainty of the absolute Bragg peak position measured with the water column is estimated to $\sim 500\ \mu\text{m}$ where the main limiting factor is the calibration at the lowest thickness. The relative distances of the Bragg peaks at different energies are more accurate and have an estimated uncertainty of $\sim 50\ \mu\text{m}$.

As seen in figure 2, the measurement ionization chamber (IC2) was fixed at 8 cm distance from the end of the water column. This distance has to be taken into account for comparison of the experimental Bragg curves with calculations because even if most of the primary ions are collected by the IC2, a significant fraction of fragments emitted at large angles can scatter out of its acceptance (up to about 30% depending on the water thickness and energy). Details of the IC2 were described by Pfuhl *et al* (2018).



3.2. Monte Carlo simulations

Monte Carlo simulations were performed with the FLUKA code (version 2020.0.3) (Ferrari *et al* 2005, Böhlen *et al* 2014, Battistoni *et al* 2015, 2016). The FLUKA nuclear reaction models for ^4He ions have been optimized (Aricò *et al* 2019) and the improved models are implemented in the FLUKA version 2020.0.3 used in this work.

The two experiments described in section 3.1 were reproduced by simulations. The targets were approximated as consisting entirely of water, neglecting the plastic walls of the flasks in the fragmentation experiment and the quartz windows of the water column in the Bragg curve experiment but using their water equivalent thicknesses. The scintillator telescope in the fragmentation setup was modeled as a cylindrical slab with a diameter of 9 cm where the incoming particle fluences were scored. The IC in the Bragg curve setup were modeled as 1 cm thick air-filled volumes, the IC1 as a block with lateral dimensions of 20×20 cm and the IC2 as a cylinder with a diameter of 5.6 cm. The distances from the end of the water targets to the detectors (see figures 1 and 2) were taken into account. Therefore, the simulations had to be performed step by step, i.e. one simulation per water depth.

For additional simulations of laterally integrated depth dose profiles, lateral dose profiles, fluence distributions and LET profiles, a simple slab geometry consisting of a 50 cm long water cylinder with 20 cm diameter was used and the entire profiles were scored in single simulations. For re-simulation of a ^4He Bragg curve with beam contaminations, where the reference data was measured at Brookhaven National Laboratory using a different approach (extended field and a small detector (La Tessa *et al* 2016)) with limited acceptance, the diameter of the scoring radius was varied until a best match between measurement and simulation was observed. Furthermore, the measurement was in polyethylene instead of water, and therefore converted into water-equivalent thickness.

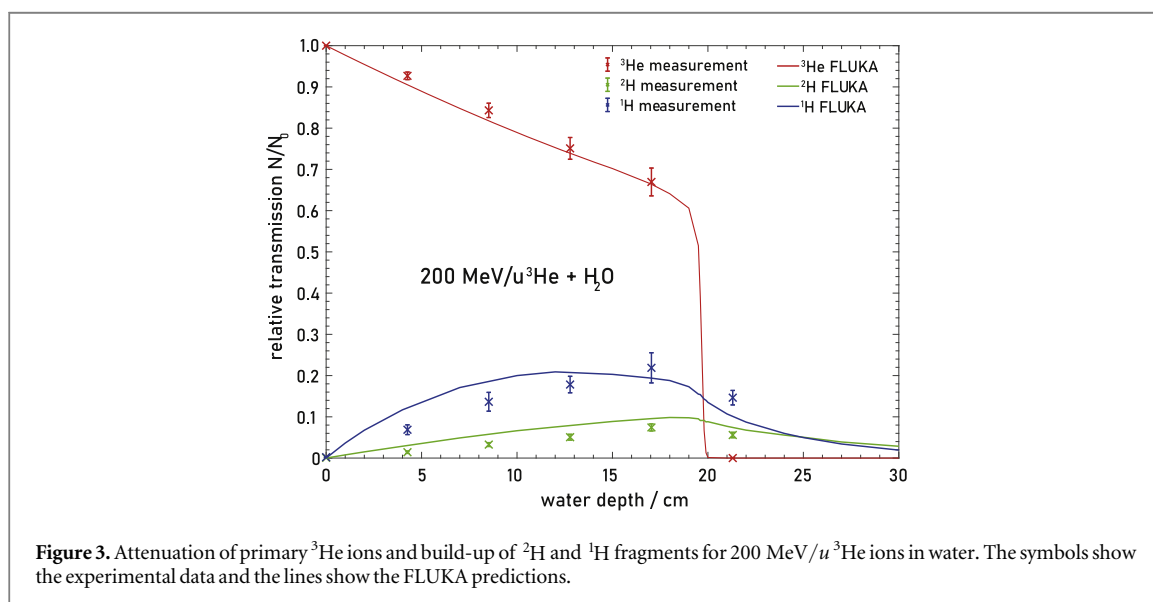
The initial energy spread was assumed to have a full width at half maximum of 0.1%, which is a realistic assumption for ion beams from a synchrotron. For simulation of spread out Bragg peaks (SOBPs), the initial energy spread of the single energy layers was increased to 1% to mimic a ripple filter. This results in broader Bragg peaks and makes it easier to cover the SOBPs with a homogeneous dose (Weber and Kraft 1999).

The mean ionization potential of water was set to 78 eV for all simulations in accordance with the recommendations in the recent ICRU 90 report (Seltzer 2016).

Dose and fluence were scored with the USRBIN card. LET spectra were scored with the USRYIELD card. From the LET spectra, the dose averaged LET was calculated offline.

4. Results and discussion

In the following section the experimental results for nuclear fragmentation and Bragg curves together with FLUKA simulations are presented. With the FLUKA simulation models validated against the measured Bragg curves, the comparison of ^3He and ^4He ions can be extended to SOBPs representing a more realistic radiotherapy scenario. Finally, also the aspect of contaminations in ^4He beams and parameters relevant for accelerator design are discussed.



4.1. Nuclear fragmentation

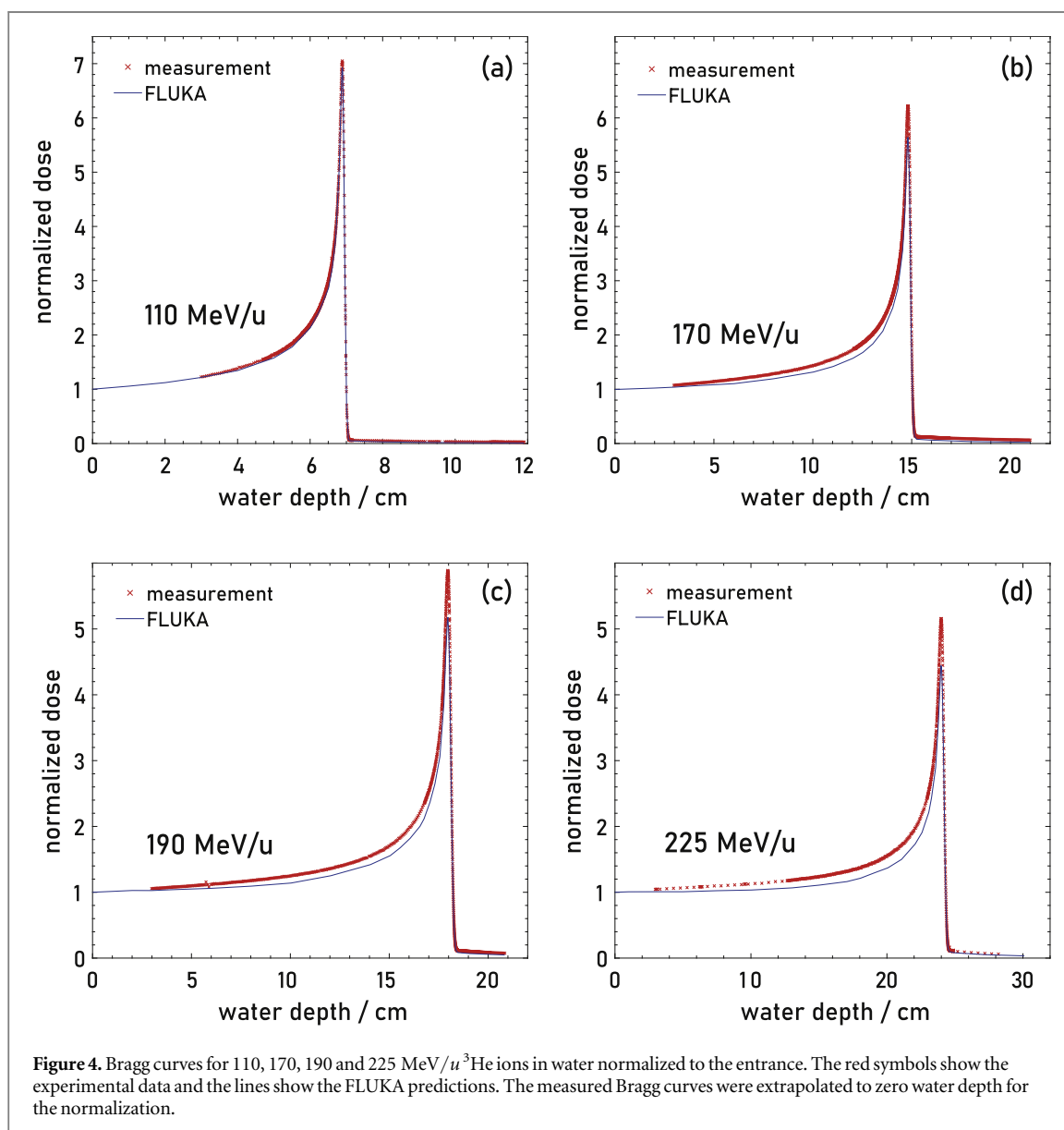
Figure 3 shows the experimental data for fragmentation of 200 MeV/u ^3He ions in water together with FLUKA simulations.

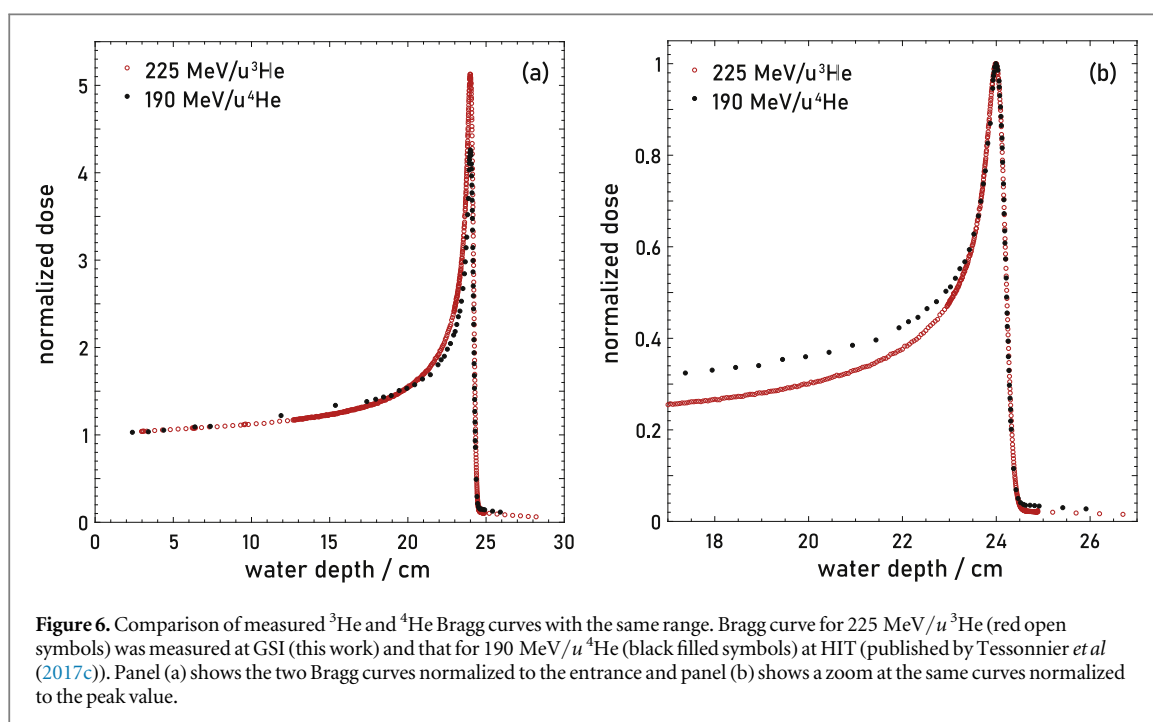
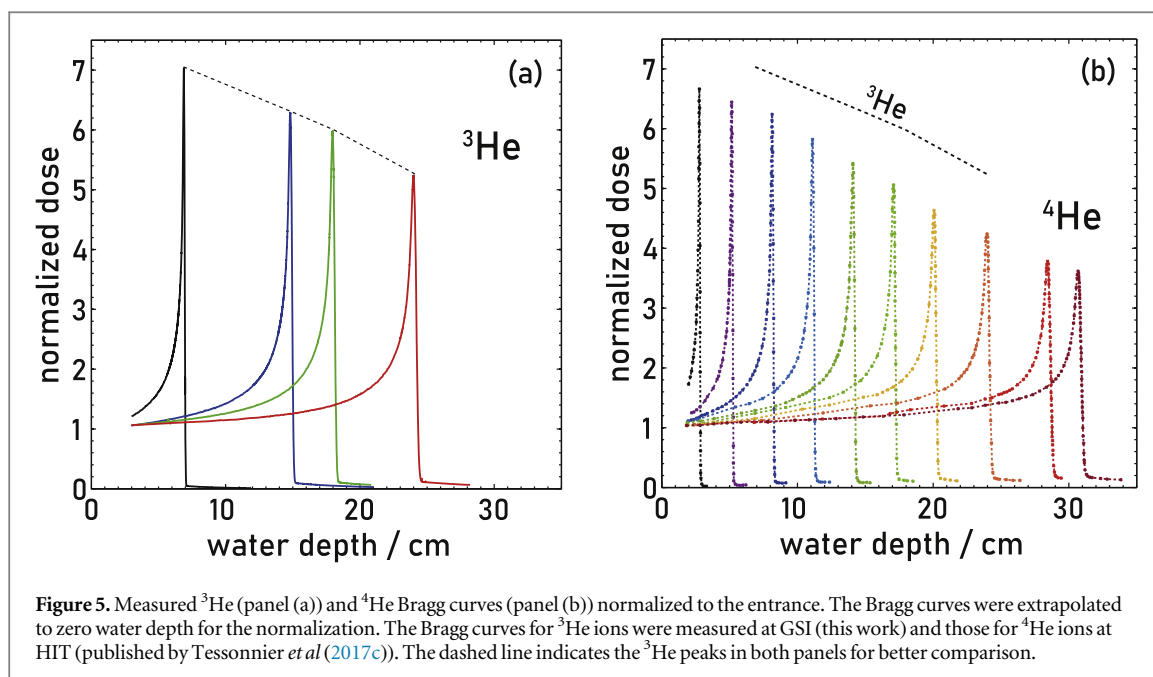
With increasing thickness of the water target the number of primary ^3He ions decreases while deuteron (^2H) and proton (^1H) fragments build up. Behind the primary ion range (~ 19.5 cm) only fragments can be detected. This trend is reasonably well reproduced by the FLUKA simulations as shown in figure 3. Concerning the attenuation of the primary ^3He ions, the FLUKA prediction (red line) slightly over-estimates the attenuation behind the two thinner targets but agrees again with the measurements behind thick targets within their uncertainties.

Fitting of the attenuation at 4.26 and 8.52 cm (residual energy of 173 and 143 MeV/u) with an exponential function yields a charge-changing cross section, which for ^3He ions is practically equal to the total reaction cross section, of 600 ± 70 mb. This is significantly lower compared to the cross section of 800 ± 58 mb measured for 220 MeV/u ^4He ions on water targets (Horst *et al* 2019). Measurements at 200 and 220 MeV/u are comparable since the energy dependence of nuclear reaction cross sections is very flat in this energy region. ^3He turns out to be the more stable helium nucleus in the therapeutic energy range, which makes it particularly interesting for ion beam therapy.

4.2. Bragg curves

Figure 4 shows the measured Bragg curves for 110, 170, 190 and 225 MeV/u ^3He ions compared the FLUKA predictions.





The FLUKA results and the measured Bragg curves are normalized to the entrance. The experimental Bragg curves had to be extrapolated for this normalization since a measurement at zero target thickness could not be performed due to the fixed windows of the water column.

The absolute Bragg peak positions were well reproduced by the FLUKA simulations with deviations from the experimental data between 0.1 mm (110 MeV/u) and 0.7 mm (225 MeV/u), corresponding to relative differences between 0.1 and 0.4%.

While for the lowest energy (panel (a)) the shape of the measured Bragg curve is reproduced with good accuracy by the FLUKA simulation, some disagreements can be observed for the higher energies. The peak-to-entrance ratio obtained in the measurements is underestimated by the FLUKA simulations and the difference increases towards higher energies. The discrepancy is only marginal for the 110 MeV/u Bragg curve (about 1.5%) but increases to 10% for 170 MeV/u, 16% for 190 MeV/u and 18% for 225 MeV/u. A possible explanation for these deviations could be inaccuracies in the total reaction cross section models, similar to what has been found for ^4He ions in previous studies (Aricò *et al* 2019). In that specific case, finetuning of the physics

model parameters within the FLUKA code against experimental cross section data led to an improved dose calculation accuracy.

For the three higher energies (panels (b)–(d)), the dose behind the Bragg peak (fragment tail) is slightly underestimated by the FLUKA simulations. As visible in figure 3, the secondary proton yield behind the primary ion range was slightly underestimated as well which could be one explanation. Furthermore, the IC2 signal is also quite sensitive to the angular distribution of the fragments which is more complicated for Monte Carlo codes to predict than the total yields.

Since the available experimental data for nuclear reactions induced by ^3He ions is rather limited, deviations between Monte Carlo simulations and measurements of that magnitude are not particularly surprising and the overall agreement can be considered reasonable. In future studies, the ^3He Bragg curves and fragmentation data provided in this work could serve as reference to validate and optimize radiation transport codes for ^3He ions in the therapeutic energy range.

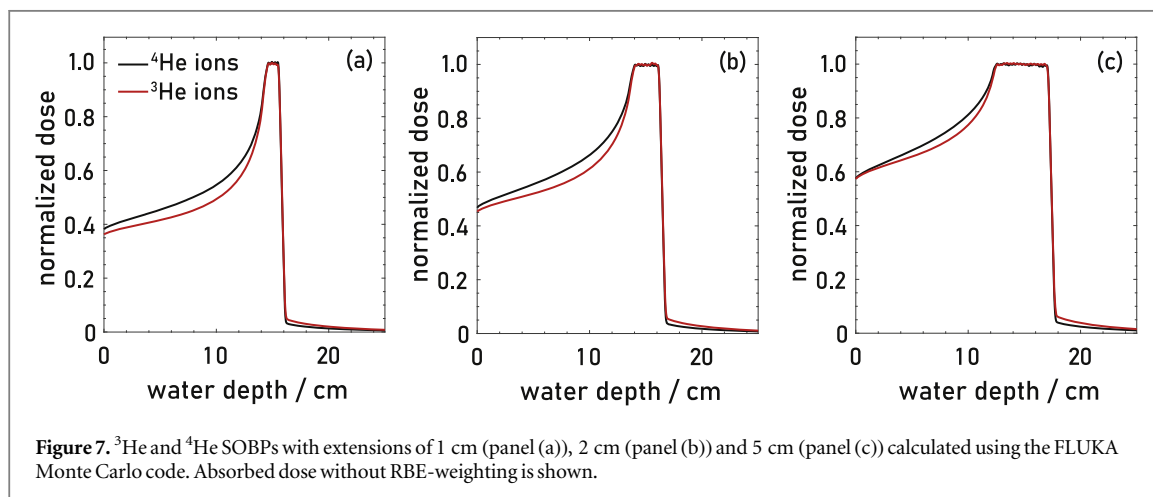
Figure 5 shows the measured ^3He Bragg curves compared with corresponding ^4He data. The experimental data for ^4He measured at HIT, Heidelberg, were reported by Tessonnier *et al* (2017c) and the data points were taken from their publication. From the comparison of the two ion species it can be observed, that the peak-to-entrance ratio of ^3He ions at the same range is larger than that of ^4He ions (see dashed line in panel (b)). This can possibly be explained by the lower nuclear reaction cross section of ^3He compared to ^4He projectiles as discussed in section 4.1. Since for ^3He less primary ions fragment before reaching the end of their range due to the lower reaction cross section (see section 4.1), this translates into a higher Bragg peak.

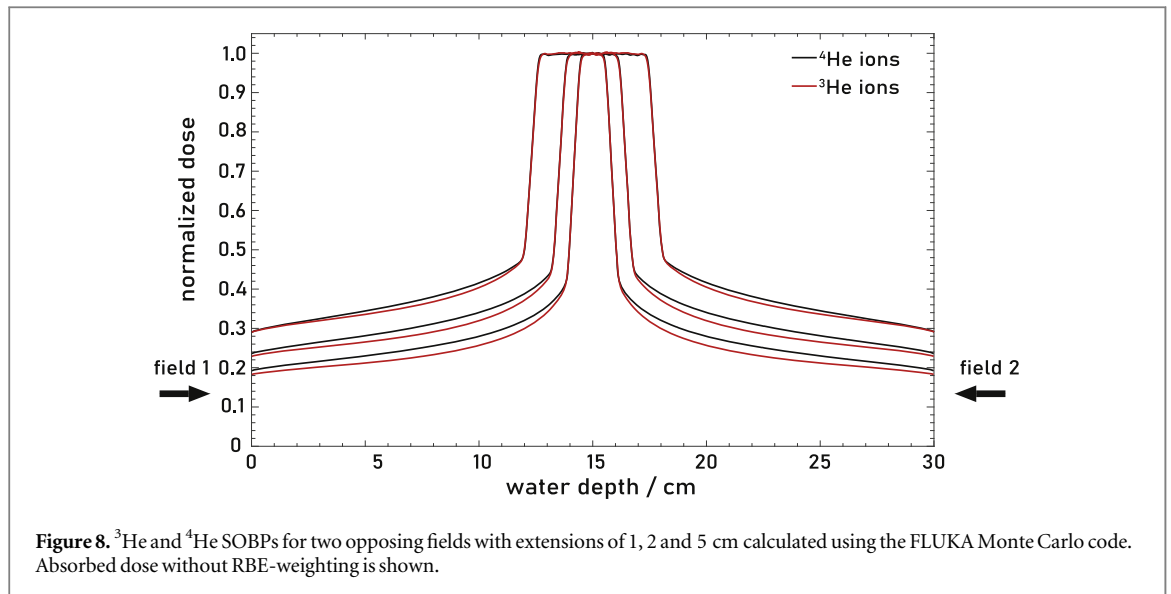
Figure 6 shows the two Bragg curve measurements with the closest range (225 MeV/ u ^3He and 190 MeV/ u ^4He) in comparison. The depth values of the ^4He Bragg curve were compressed by 0.3% to precisely match the ranges. The larger peak-to-entrance ratio for ^3He is clearly visible in panel (a) and the zoom in panel (b) demonstrates that the peak width and the distal edge are identical for both measurements. Therefore, the lower peak-to-plateau ratio observed for ^4He ions can not be caused by differences in the energy spread at the GSI and HIT accelerators and beamlines. Also the different acceptance of the two experimental setups can not be a reason, since the PTW PeakFinder used for the ^4He measurements (Tessonnier *et al* 2017c) has even a larger acceptance than the water column used for the ^3He measurements (8.16 cm diameter of the ICs instead of 5.6 cm, see figure 2).

4.3. Spread out Bragg peaks

After assessing the differences between ^3He and ^4He ions in the pristine Bragg curves, the question comes up if the higher peak-to-entrance ratio observed for ^3He translates into advantages for the irradiation of extended volumes. Therefore, as a more clinically relevant scenario, the irradiation of SOBPs into a water phantom was simulated using the FLUKA Monte Carlo code. For those simulations, a set of Bragg curves with fine energy steps, corresponding to range steps of ~ 1 mm, was pre-calculated for both ^3He and ^4He ions to optimize their weights to irradiate an SOBP. Figure 7 shows a comparison between ^3He and ^4He SOBPs of different extensions (1, 2 and 5 cm). The SOBPs are centered around 14.5 cm which is a realistic target depth for average tumors.

An advantage in the dose distribution of ^3He ions proximal to the SOBP can be observed for all three examples. However, the difference between ^3He and ^4He becomes larger for smaller SOBPs. The dose in the fragment tail is slightly larger for the ^3He SOBPs compared to ^4He . Those differences can be understood by considering their different fragmentation characteristics: one one hand, ^3He ions have less probability to fragment (see section 4.1) and therefore produce higher Bragg peaks (see figure 4) compared to ^4He . On the other hand, all possible fragments of ^3He (^2H and ^1H) have on average longer ranges than the primary ions





according to the A/Z^2 scaling (see section 2), while for primary ^4He ions some of the fragments have a shorter (^3He) or the same (^1H) range. As a result, the ^3He depth dose profiles have less dose contributions before the Bragg peak, but a higher dose in the fragment tail than the ^4He profiles.

In clinical practice, tumors are normally not irradiated with only one field but using multiple fields from different directions. To understand if the lower dose proximal to the Bragg peak would cancel out with the higher dose in the fragment tail, irradiations with two opposing fields were mimicked as well, shown in figure 8.

The same trend as in figure 7 can be observed. Also for opposing fields, the use of ^3He instead of ^4He ions would allow a lower dose in the healthy tissue at the same dose to the target volume. The maximum differences in the studied examples are around 10% for the 1 cm SOBPs. A reduction of the dose to the normal tissue of that order could be relevant for organs at risk with a steep dose-response relationship like for instance the spinal cord (Karger et al 2006).

It should be noted that the FLUKA calculated Bragg curve for $170\text{ MeV}/u$ ^3He ions has a 10% lower peak-to-entrance ratio than what was found in the measurement (see panel (c) of figure 4). Therefore, the actual differences of SOBPs irradiated with ^3He and ^4He can be expected to be even more pronounced than what is visible in the simulation results shown in figures 7 and 8.

4.4. Lateral dose profiles

The reduced lateral scattering of helium ions compared with protons is one of the main reasons for considering them for radiotherapy (Grün et al 2015, Krämer et al 2016). Therefore, this point was also investigated in detail for ^3He and ^4He ions by simple analytical approximations and detailed Monte Carlo simulations.

The lateral deflections by multiple Coulomb scattering can be approximated by a Gaussian distribution (Highland 1975). If the material and thickness are kept constant and relativistic effects are neglected, the influence of the atomic number Z and mass number A and the velocity v of the projectile on the lateral beam spread σ_θ after traversing a thin target can be described by equation (1) (Weber and Kraft 2009)

$$\sigma_\theta \sim \frac{Z}{A \cdot v^2}. \quad (1)$$

Because the scattering happens in the Coulomb field of the target nuclei, a higher charge of the projectile increases the lateral beam spread. On the other hand, increasing the projectile mass or velocity has an inverse effect because a higher forward momentum makes the beam become more rigid.

In table 1, the ratio between the lateral beam spread σ_θ of ^3He and ^4He ions with same ranges in water calculated according to equation (1) are reported. A rather constant ratio of 1.16–1.18 is observed for the given energies. For comparison: the corresponding ratio of σ_θ for protons and ^{12}C ions lies between 3.3 and 3.4.

The SCATTMAN transport code (Weber 1996, Schardt et al 2010) was used to study the beam broadening due to lateral scattering based on the Highland approximation (Highland 1975). Figure 9 shows calculated beam envelopes of ^3He and ^4He pencil beams in a typical ion therapy setup at the energies listed in table 1.

The ion beams exit the vacuum tube through a thin double window consisting of two pairs of $100\ \mu\text{m}$ hostaphan foil with supporting $100\ \mu\text{m}$ kevlar tissue layers. Then they penetrate through the beam monitoring system, typically consisting of two multi wire proportional chambers and three parallel plate IC. Even if the

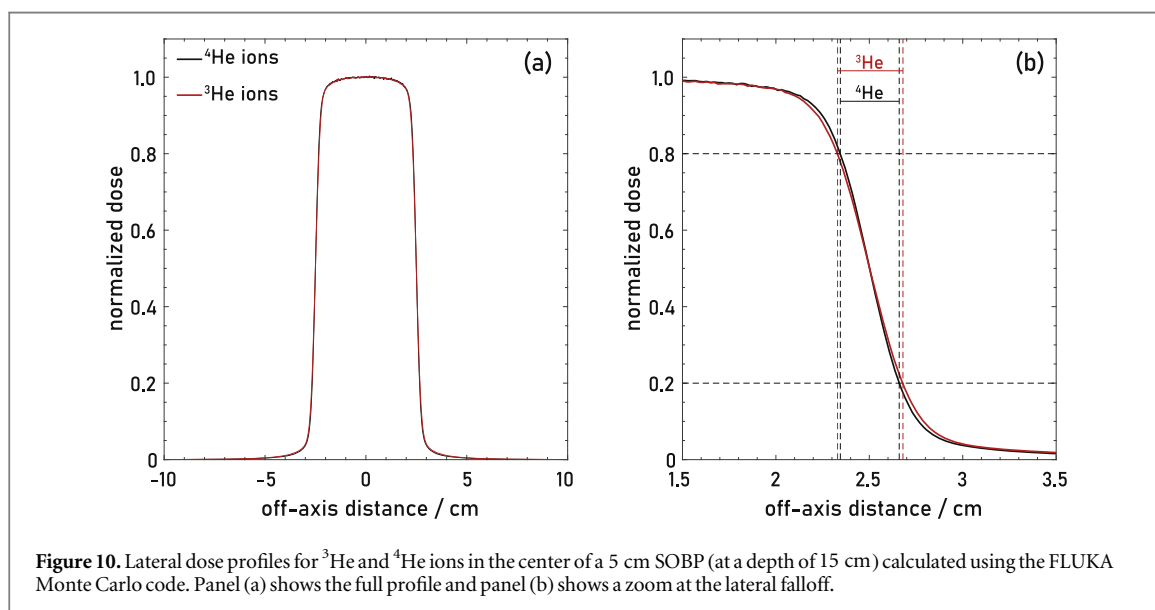
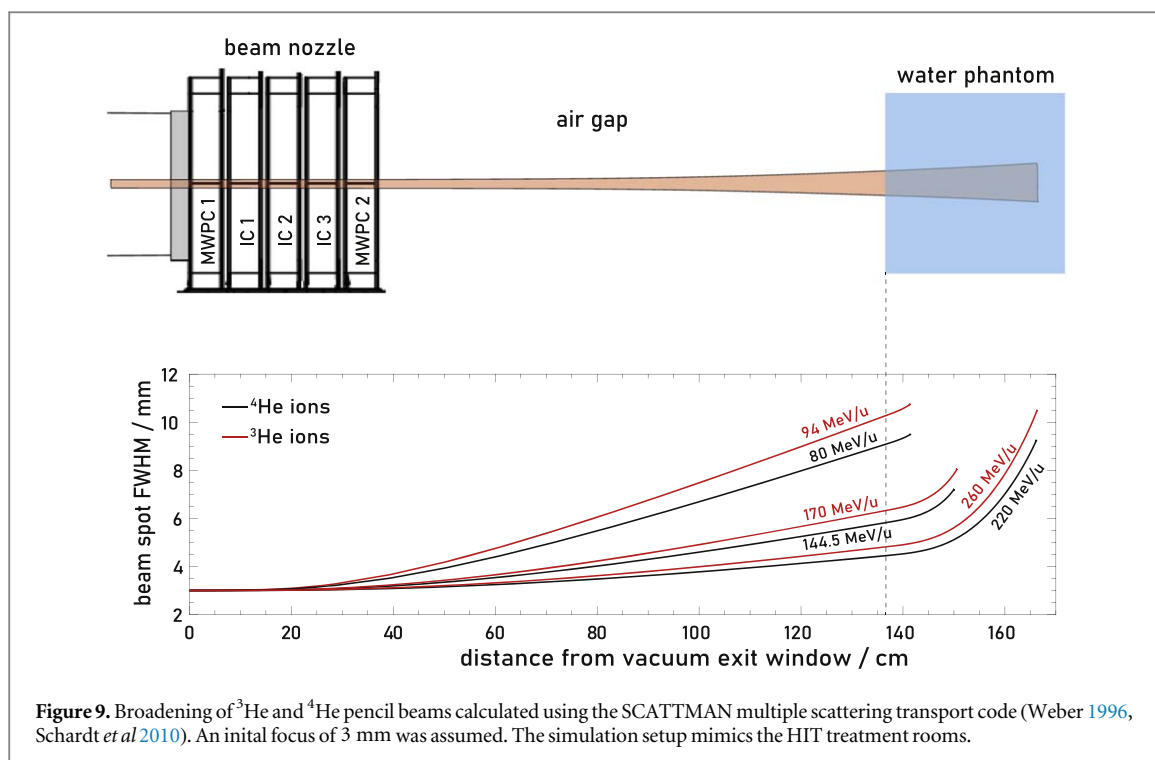
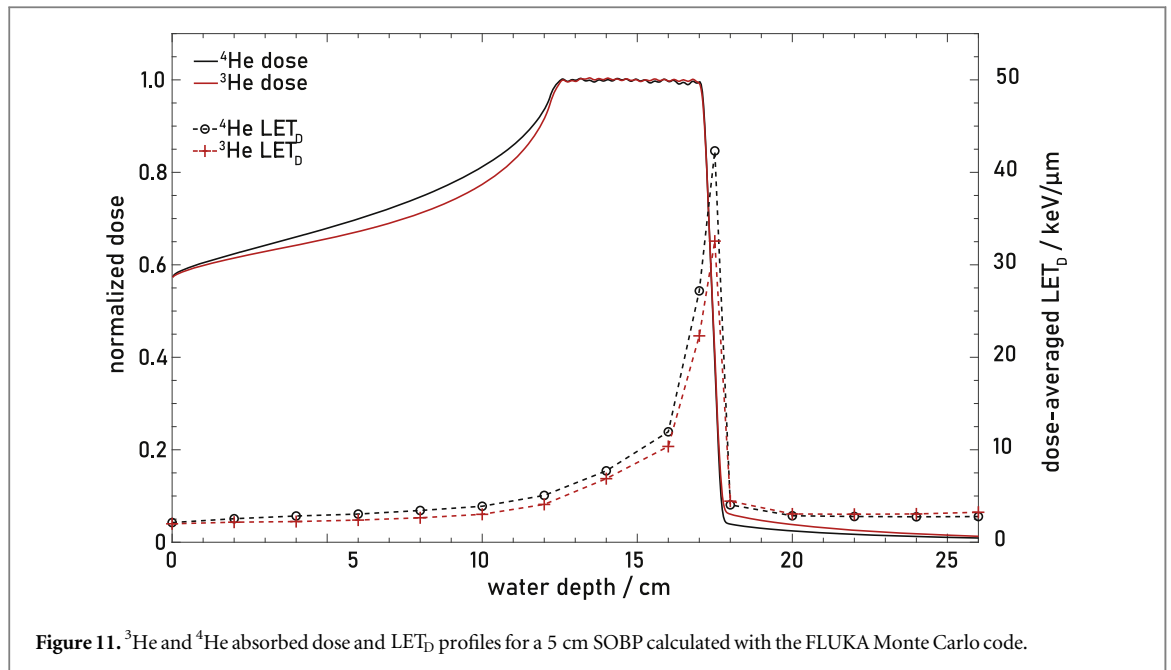


Table 1. Ratio of lateral beam spread for ^3He and ^4He ions with the same range in water.

Range in water	5 cm	15 cm	30 cm
^3He energy	94 MeV/u	170 MeV/u	260 MeV/u
^3He velocity	12.54 cm ns ⁻¹	16.00 cm ns ⁻¹	18.70 cm ns ⁻¹
^4He energy	80 MeV/u	144.5 MeV/u	220 MeV/u
^4He velocity	11.69 cm ns ⁻¹	15.01 cm ns ⁻¹	17.62 cm ns ⁻¹
$^3\text{He}/^4\text{He}$ σ_θ ratio	1.16	1.17	1.18

water equivalent thickness of these components sums up to only 1.7 mm, their effect on the angular beam spread is significant. After passing the beam monitor system, the ions pass along a 1 m air gap and when hitting the water phantom the beams are already considerably widened up. Finally, the ions are slowed down in the water phantom and the lateral spread grows further until they reach the end of their range. For high energies the



lateral scattering in the water phantom is the dominant contributor to the final beam size while for low energies the scattering in the beam monitor system is more relevant.

The beam envelopes are slightly larger for ^3He than for ^4He ions due to their lower mass. However, the difference is not very pronounced (factor 1.16–1.18 as discussed above).

To understand if the differences in lateral scattering between ^3He and ^4He within the water phantom or patient are relevant, additional FLUKA simulations have been performed. Figure 10 shows ^3He and ^4He lateral dose profiles in the center of a $5 \times 5 \times 5 \text{ cm}^3$ dose cube (at a depth of 15 cm of the 5 cm SOBP shown in figures 7 and 11). In panel (a) of figure 10 the ^3He and ^4He profiles can hardly be distinguished. Only in the zoom at the lateral falloff (panel (b)), the slightly stronger broadening of the ^3He profile can be noticed. The lateral penumbra can be characterized by the $d_{80,20}$ distance (Safai *et al* 2008). It is about 16% larger for ^3He ($d_{80,20} = 0.36 \text{ cm}$) than for ^4He ($d_{80,20} = 0.31 \text{ cm}$), which is comparable with the factor 1.16–1.18 estimated from equation (1). For target volume extensions in the order of centimeters, those differences in the lateral profile of the two ion species are practically negligible. The reason for the small differences observed in the lateral profiles of ^3He and ^4He ions are the different energies per nucleon required to reach the same depth (see section 2).

4.5. Radiobiological aspects

All comparisons above focused on absorbed dose profiles, however, in ion beam therapy, absorbed dose weighted by the relative biological effectiveness (RBE) is the quantity of interest. For real tumor irradiations, a flat biological SOBP is optimized using a suitable biophysical model. Therefore, the question how comparable the radiobiology of ^3He and ^4He ions is should also be addressed.

The RBE effects of helium ions are moderate compared to carbon ions, however, they are more pronounced than for protons and the depth-dependence of the RBE should be considered in treatment planning (Grün *et al* 2015). From the Berkeley trial a clinical RBE of 1.2–1.3 for ^4He SOBPs was reported by Castro and Quivey (1977) while RBE values measured in the entrance channel are close to 1 (Phillips *et al* 1977, Mairani *et al* 2016).

At the same LET, no differences in the radiobiology of ^3He and ^4He ions are to be expected. However, the energy per nucleon to reach the same depth is larger for ^3He than for ^4He ions (in the order of 20%) which causes a slightly reduced LET for ^3He at the same (residual) range. In a mixed radiation field, the dose-averaged linear energy transfer LET_D can serve as a rough indicator of the radiation quality (Grün *et al* 2019). Figure 11 shows the LET_D profiles for ^3He and ^4He ions for the 5 cm SOBP shown in panel (b) of figure 7.

The LET_D profiles for ^3He and ^4He ions are comparable but not fully identical. In the shown example the LET_D ranges from $\sim 2 \text{ keV } \mu\text{m}^{-1}$ at the entrance up to $\sim 30\text{--}40 \text{ keV } \mu\text{m}^{-1}$ at the distal falloff of the SOBP. For ^3He ions, it is lower by 6%–23% compared with ^4He due to their different energies per nucleon at a given depth. The less dense ionization tracks of ^3He ions could result in a slight reduction of the biological effectiveness in the SOBP compared to ^4He . For some cases, this might compensate part of the advantages observed for ^3He in the peak-to-entrance ratio of physically optimized SOBPs (figures 7 and 11).

The interplay between physical and biological properties of different ion species is very complex and besides the radiation quality it depends also on the combination of the radiosensitivity (low LET α/β -ratios) of normal and tumor tissue and on the dose per fraction (Grün *et al* 2015). A detailed radiobiological comparison of the two ion species is out of scope of the present study but future investigations into that topic could either be based on the local effect model (LEM IV) or the modified microdosimetric model (mMKM) which both have been shown to be accurate for helium ions (Elsässer *et al* 2010, Mairani *et al* 2017, Mein *et al* 2019). A computational study on the RBE of ^3He ions based on Monte Carlo simulations and a parametrized RBE model has been performed by Talei *et al* (2016).

For verification of radiobiological models and calculations, a variety of cell survival data for ^3He and ^4He ions can be found in the PIDE database (Friedrich *et al* 2012). For example, Furusawa *et al* (2000) reported ^3He survival curves for different cell lines measured at several energies.

4.6. Contaminations in ^4He beams

When ^4He ion beams are used for radiotherapy, the contamination with heavier ions can be a serious issue. Panel (a) of figure 12 shows a Bragg curve of a contaminated 236 MeV/u ^4He beam measured at the NASA Space Radiation Laboratory (NSRL) (La Tessa *et al* 2016, Burigo *et al* 2020) of Brookhaven National Laboratory (BNL), USA (the same Bragg curve was also shown by Durante and Paganetti (2016)).

The measured Bragg curve (symbols) with small extra Bragg peaks at about 1/3 of the primary ion range can reasonably be reproduced by FLUKA simulations (lines). To fit the measured curve, the contamination with 236 MeV/u ^{12}C , ^{14}N and ^{16}O ions of 0.15%, 0.25% and 0.20% was assumed. This estimate of the contamination level is in the same order like measurements by Burigo *et al* (2020). The most probable origin of those ions is residual air in the ion source (Beebe *et al* 2015, Burigo *et al* 2020). The NSRL beamline is mostly used for radiobiological irradiations, for which a slightly contaminated beam might still be acceptable. However, when patients are treated with ^4He ions such beam contaminations must be avoided since the Bragg peaks of the heavier ions would irradiate the healthy tissue. Winkelmann *et al* (2012) describe a safety system to detect gas leaks in the ion source implemented at HIT and Mizushima *et al* (2020) describe a prototype of a beam diagnostic device under development at NIRS to monitor the purity of ^4He beams in their medical beamlines.

Panel (b) of figure 12 shows a 150 MeV/u ^4He Bragg curve calculated with different contamination levels (0.01%, 0.1% and 0.5% of ^{12}C , ^{14}N and ^{16}O ions). From this comparison, it gets clear that the contamination of ^4He therapy beams should be kept below 0.01%, which is also the limit that Winkelmann *et al* (2012) have pointed out.

There are ideas to exploit that effect for online imaging and range verification during radiotherapy, by intentionally contaminating a ^{12}C ion beam with ^4He ions (Graeff *et al* 2018, Mazzucconi *et al* 2018, Volz *et al* 2020). The patient is then treated with ^{12}C ions while the ^4He ions with a three times higher range are detected after exiting the patient.

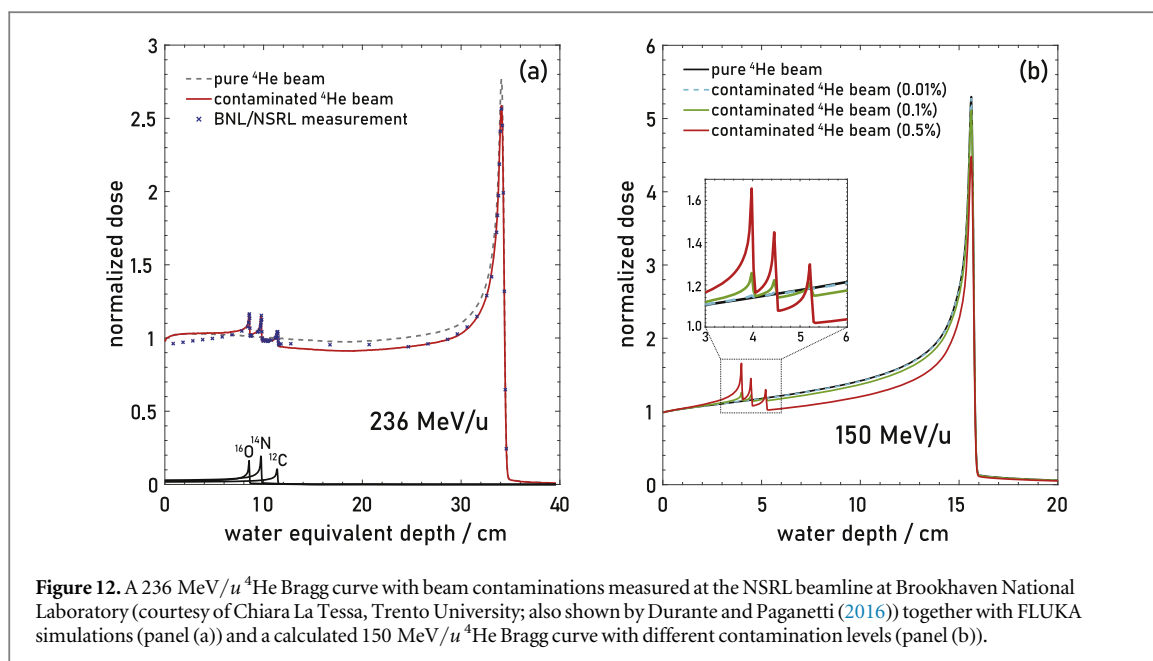


Figure 12. A 236 MeV/u ^4He Bragg curve with beam contaminations measured at the NSRL beamline at Brookhaven National Laboratory (courtesy of Chiara La Tessa, Trento University; also shown by Durante and Paganetti (2016)) together with FLUKA simulations (panel (a)) and a calculated 150 MeV/u ^4He Bragg curve with different contamination levels (panel (b)).

Table 2. Accelerator design aspects for ^3He and ^4He therapy machines. The given parameters are for a range of 30 cm in water.

Parameter	^3He	^4He
Kinetic energy per nucleon	260 MeV/ u	220 MeV/ u
Total kinetic energy	780 MeV	880 MeV
Velocity	0.624 c	0.588 c
Magnetic rigidity	3.74 Tm	4.52 Tm
q/m (partly stripped, fully stripped)	1/3, 2/3	1/4, 1/2

4.7. Accelerator design aspects

The design of new accelerators for ion beam therapy is an active field of research (Owen *et al* 2016, Farr *et al* 2018). The overall footprint of the accelerator determines to a large extent the total costs of an ion beam therapy facility. As also pointed out by Taleei *et al* (2016), ^3He ions could be very attractive for compact therapy accelerator designs. Table 2 compares parameters of ^3He and ^4He that are relevant for design and construction of accelerators.

As already mentioned in section 2, the kinetic energy per nucleon (i.e. the velocity β) required to reach a depth of 30 cm (the typical design goal of therapy accelerators) is higher for ^3He than for ^4He . However, the total kinetic energy and the magnetic rigidity is considerable lower for ^3He . There are no commercial cyclotron designs for carbon ion therapy available up to now. The company IBA is currently developing a 400 MeV/ u machine called C-400 (Jongen *et al* 2010) comparable to what was proposed in the EULIMA project (Mandrillon *et al* 1987). For ^3He ions, compact and cost-effective cyclotron designs may be feasible due to the relatively low final velocity (relativistic effects that complicate the acceleration in cyclotrons are still moderate at 260 MeV/ u) and the less rigid beams would allow lighter magnets in the cyclotron, beam transport lines and gantry with a lower energy consumption.

Contamination levels below 0.01% are a reasonable design goal for therapy accelerators. As discussed in section 4.6 the acceleration of clean ^3He ion beams is easier than for ^4He due to the more unique q/m -ratios of 1/3 or 2/3. In the HICAT study (Bär *et al* 2000), the acceleration of $^3\text{He}^{1+}$ ions ($q/m = 1/3$) in the linac injector was proposed because typical electron cyclotron resonance (ECR) ion sources can yield higher intensities for this charge state than for $^3\text{He}^{2+}$ ($q/m = 2/3$). After the stripper foil behind the linac, possible $^{12}\text{C}^{4+}$ contaminations are removed before injection into the synchrotron. The typical injection linacs in compact therapy synchrotrons are too short to reach the injection energy for $^4\text{He}^{1+}$ and for $^4\text{He}^{2+}$, contaminations with $q/m = 1/2$ (e.g. $^{12}\text{C}^{6+}$, $^{14}\text{N}^{7+}$, $^{16}\text{O}^{8+}$, $^{20}\text{Ne}^{10+}$ or $^{36}\text{Ar}^{18+}$) can not be removed easily because they are already fully stripped.

At synchrotron-based ion therapy facilities, a single ion source could be operated together for ^3He ions ($^3\text{He}^{1+}$) and protons (H^{1+}) if a few minutes of switching time between the two species is acceptable (Bär *et al* 2000) while for ^4He a dedicated ion source is preferable to avoid the above mentioned beam contaminations and a safety system to monitor the beam purity should be installed (Winkelmann *et al* 2012, Mizushima *et al* 2020). Therefore, if existing ion therapy facilities should be upgraded to helium ions, ^3He could be a cost-saving alternative or an option for facilities where no space for a further ion source is available.

^3He is known to be very expensive and its prize can fluctuate strongly (Shea and Morgan 2010). This aspect has to be considered when thinking about routine ion therapy operation with ^3He beams because the ion source needs to be supplied with gas. Therefore, a rough estimate of the additional operating cost due to ^3He supply is presented in the following: the gas consumption of an ECR ion source lies in the order of a few cm^{-3}/h . Assuming a ^3He gas prize of 3000 Euro per liter at atmospheric pressure and a gas consumption of $10 \text{ cm}^{-3}/\text{h}$ one obtains a conservative estimate of the additional operating costs of 30 Euro/h. This can be a non-negligible cost factor, but is still low compared with the regular operating costs of an ion therapy facility.

5. Conclusion and outlook

The re-introduction of radiotherapy with ^4He ions currently ongoing at different facilities could stimulate the interest in helium ion therapy worldwide.

Besides ^4He ions, also radiotherapy with ^3He ions was proposed in the past, mainly to avoid the potential problem of beam contaminations. In this article, experimental fragmentation data and Bragg curves in water for ^3He ions in the therapeutical energy range measured at GSI, Darmstadt, are presented. The measured ^3He Bragg curves could be reproduced reasonably well by FLUKA Monte Carlo simulations with slight deviations towards higher energies. On basis of the experimental data supported by Monte Carlo simulations, the physical characteristics of ^3He and ^4He ions were compared in detail. This comparison showed that ^3He ions exhibit

more interesting features for radiotherapy than only the possibility of producing clean helium beams. The physical depth dose profiles of ^3He turned out to be competitive to those of ^4He . In the studied examples, the peak-to-entrance ratio of physically optimized ^3He SOBPs was slightly better than that achievable with ^4He ions. This can be mainly associated to the lower primary ion attenuation due to the smaller nuclear reaction cross section of ^3He compared to ^4He . The sparing effect for ^3He increases as the extension of the SOBPs decreases. The fragment tail in ^3He depth dose profiles is slightly more pronounced than for ^4He due to their different fragmentation channels.

The beam broadening due to lateral scattering is stronger for ^3He compared to ^4He , however, the differences are only marginal. Lateral dose profiles in the SObp were found to be almost similar for both ions. This is because the initial energy per nucleon required to reach the same depth is higher for ^3He than for ^4He and the higher velocity of ^3He partly compensates for their 25% lower mass.

^3He ions have a lower LET compared to ^4He ions with the same range, therefore, slight differences in their RBE can be expected. These radiobiological aspects should be addressed in future comparative studies supported by an appropriate biophysical model (e.g. mMKM or LEM IV).

The present study shows that ^3He ions could be an interesting alternative to ^4He as they can produce comparable dose profiles, even with some advantages. Especially for future compact therapy accelerator designs, ^3He ions seem attractive since they would require considerably smaller magnets due to their lower magnetic rigidity than ^4He . If ^4He ions are used for radiotherapy, a dedicated ion source and a monitoring system which can ensure the beam purity (contaminations with heavier ions below 0.01%) should be installed. For ^3He ion beams a separate ion source and an additional safety system would not be necessary because they are basically free from contaminations due to their unique q/m -ratio. Therefore the upgrade of existing synchrotron-based ion therapy facilities to ^3He might be easier and less expensive than to ^4He .

To compare ^3He and ^4He ions for real radiotherapy scenarios, a treatment planning study including a suitable RBE model would be useful. The experimental data for ^3He ions presented in this work can be used to validate the basic data used as input for treatment planning systems.

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